Spillway design considerations when given hydrostatic and hydro-dynamic loads

National Dam Safety Program Technical Seminar | 2024 Presenter: Lan Nguyen, Civil Engineer, Ph.D, P.E



Agenda

- Spillway structural components
- The loads, the magnification from static load to dynamic load.
- The responses of spillway structural component with respect to the loads
- Some examples and practice procedures when work on these assignments







Hydraulic structure that passes normal (operational) and/or flood flows in a manner that protects the structural integrity of the dam and/or dikes.











Emergency Spillway

 Emergency spillway provides additional protection against dam and/or dike overtopping and intended for use under unusual or extreme conditions.







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Spillway Profile
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Spillway Walls



Stampede Dam Control Structure









Figure E-2-4.—Typical spillway pier configurations.

2-4.— Typical spinway pict configurations.

FEMA













Spillway Wall PFM discussion in Best Practice

Seismic Spillway Wall PFM







Pier Discussion and its PFMs in Best Practice







Pier Discussion and its PFMs in Best Practice (2)



Some levels of warning from structural responses, while does not show here, the risk tree includes **intervention**=Impact of Human factor

Loading

Chapter 3: General Spillway Design Considerations

To determine the appropriate seismic loads for a spillway, identification and evaluation of seismic-induced credible PFMs are undertaken (for more details, see Appendix B, "Potential Failure Modes (PFMs) for Spillways," in this chapter). If there are seismic-induced credible PFMs, the design load is determined through the process outlined in table 3.3.2-1. This process begins with assuming initial design loading conditions.

Analytical tools used to estimate the response of the structure to the earthquake loads involve pseudo-static and dynamic methods. These include:

- Pseudo-static methods. These methods are typically used during appraisal and feasibility design. On occasion, these methods may be used during final design when dealing with common, simple structures without complex soil-structure interactions and that are subject to small to moderate seismic loading. These pseudo-static methods include:
- Westergaard method. The Westergaard method estimates hydrodynamic loading. For more details about applying the Westergaard method, see Chapter 6, "Structural Design Considerations for Spillways and Outlet Works," in this design standard.
- **Mononobe-Okabe method.** The Mononobe-Okabe (M-O) method estimates dynamic lateral soil loading. The M-O method computes the net static and dynamic force acting on a flexible (yielding) structure. For positive horizontal accelerations (soil accelerates toward the wall), the net dynamic active force (P_{AE}) is greater than the net static active force (P_a) , and the net dynamic passive force (P_{EE}) is less than the net static passive force (P_p) . Thus, compared with static conditions, the seismic earth pressures increase from the driving side soil mass and decrease from the resisting side soil mass. A limitation of the M-O method in higher seismic regions is that the soil angle of internal friction $(\phi)^{47}$ must be greater than the seismic inertial angle $(\psi)^{48}$, which is a function of the horizontal acceleration. The M-O equations yield negative radicals (complex numbers) under such large seismic accelerations. A summary of the fundamental M-O assumptions is presented below:

Design Standards No. 14: Appurtenant Structures for Dams (Spillways and Outlet Works) Design Standards

- · The wall yields sufficiently when subjected to active pressures.
- The backfill is cohesionless.
- The soil is assumed to satisfy the Mohr-Coulomb failure criterion.
- When the minimum active pressure is attained, a soil wedge behind the wall is at the point of incipient failure, and the maximum shear strength is mobilized along the potential slip plane.
- Failure in the backfill occurs along a slip plane surface that is inclined at some angle with respect to the horizontal backfill passing through the toe of the wall.
- The soil wedge behaves as a rigid body, and accelerations are constant throughout the mass.
- Equivalent static horizontal and vertical forces are applied at the center of gravity of the wedge and represent the earthquake forces.
- Liquefaction is not a consideration for the backfill.
- The backfill is completely above or completely below the water table, unless the ground surface is horizontal, in which case the backfill can be partially saturated.
- · The ground surface is planar, not irregular or broken.
- Any surcharge is uniform and covers the entire soil surface.
- The soil angle of internal friction must be greater than the seismic inertial angle (φ ≥ ψ).

For more details about applying the M-O method, see Chapter 23, "Seismic Failure of Spillway/Retaining Walls," of the *Dam Safety Risk Analysis Best Practices Training Manual [6]* and Chapter 6, "Structural Design Considerations for Spillways and Outlet Works," in

 Woods method. – The Woods method estimates dynamic lateral soil loading (only applicable for nonyielding wall conditions). Woods method is based on linear elastic theory and on idealized representations of the wall-soil structural system. Elastic methods were originally developed and applied for the design of basement walls that would be expected to experience very small displacements

Chapter 3: General Spillway Design Considerations

under seismic loading and, as such, can be considered as rigid, nonyielding walls. The fundamental assumption for the elastic methods is that the relative soil-structure displacement generates soil stresses in the elastic range of the material. Elastic methods are usually based on elastic wave solutions and are thought to represent upper-bound dynamic earth pressures and, as a result, produce seismic loads greater than those of the M-O method. Wood's method predicts a total dynamic thrust acting at a height equal to approximately 0.58H above the base of the wall. A summary of the fundamental Woods assumptions is presented below:

- The wall is a rigid, non-yielding wall
- · Soil stresses are in the elastic range
- Computed dynamic thrust loads must be added to static lateral earth loads.
- Computed dynamic thrust loads are a function of the soil Poisson's ratio.
- Computed dynamic thrust loads are a function of the ratio of the effective horizontal length of the backfill to the height of the backfill.
- Not limited for large seismic accelerations.
- The earthquake shaking frequency is much less than the fundamental frequency of the backfill.

For more details about applying Woods method, see Chapter 23, "Seismic Failure of Spillway/Retaining Walls," of the *Dam Safety Risk Analysis Beer Practices Training Manual* [6] and Chapter 6, "Structural Design Considerations for Spillways and Outlet Works," in

 Self-weight inertia (added mass.) – Any pseudo-static analysis will include the inertia forces associated with earthquake-induced acceleration of the spillway structure or feature, such as a wall. For more details, see Chapter 6, "Structural Design Considerations for Spillways and Outlet Works," in this design standard.

Dynamic methods. – Linear and nonlinear two-dimensional and threedimensional Finite Element Model (FEM) methods are typically employed for some feasibility designs and for some final design level efforts (not all high-level designs will require FEM methods). Also, these methods are





Loading, design criteria for concrete retaining walls-1971 publication

DESIGN CRITERIA FOR CONCRETE RETAINING WALLS

Report of the task committee on

design criteria for retaining walls

A. J. Aisenbrey, Jr. R. B. Campbell R. W. Kramer J. Legas L. M. Stimson

Division of Design Engineering and Research Center Denver, Colorado

First printed August 1971 Revised and Reprinted July 1977

UNITED STATES DEPARTMENT OF THE INTERIOR * BUREAU OF RECLAMATION





Loading (2)

Seismic water pressure on rigid wall



$$po = C_e \propto_h H$$

$$P_{ew} = \frac{2}{3} C_e \propto_h H^2$$

$$M = \frac{4}{15} C_e \propto_h H^3$$

$$\alpha_h = \text{ horizontal ground acceleration/g}$$

$$T = \text{ earthquake period (sec)}$$

$$C_e = \frac{51}{\sqrt{1-0.72 \left(\frac{H}{1000T}\right)^2}}$$



Seismic water pressure on flexible wall

2. From Equation 15 and Figure 8, 5 percent damping, [5] determines whether a magnification factor, MF, need be applied. If MF does not apply, use Westergaard's parabola, the same as though the walls were rigid.

3. If magnification is required, the variation is assumed to be linear as shown by Line DE, Figure 11.[11] The curve AKC represents Westergaard's parabola as modified by magnification. The curve is produced by assuming values of Y and solving for X.





Then

$$X = C_e \alpha_h \sqrt{HY} \left[MF - \left(\frac{MF - 1}{H} \right) Y \right]$$
 (16)

When Y = H, the total hydrodynamic load is:

 $P_{ew} = \frac{2}{3} C_e H^2 (0.4 \text{ MF} + 0.6) \alpha_h$ (17)

Loading

Extreme condition





Loading (3)



Figure 36. Contour plot shows water pressure in reservoir



Figure 37. Data plot showing water pressure in the reservoir.



Pressure in soil, static and dynamic approximation

Static



$$P_{1} = W \frac{H_{1}^{2}}{2} K$$

$$P_{2} = W_{1} \frac{H_{2}^{2}}{2} K$$

$$P_{3} = W_{W} \frac{H_{2}^{2}}{2}$$

 $P_4 = W H_1 K H_2$

Dynamic

DYNAMIC LOADS

Seismic Fill Pressure

The total active fill force, P_{AE} , during an earthquake is obtained by adding a dynamic force component, ΔP_{AE} , to the active static force, P, described under Static Loads.[15]

$$P_{AE} = P + \Delta P_{AE} \tag{7}$$

The components of P_{AE} are computed separately, since P acts at onethird the height of the fill above the base and ΔP_{AE} acts at twothirds the height of the fill above the base.[9] [20] The force components are:

$$P = \frac{wH^2}{2} K_a$$
 and $\Delta P_{AE} = \frac{wH^2}{2} \Delta K_{AE}$

then:

$$P_{AE} = \frac{wH^2}{2} (K_a + \Delta K_{AE}) = \frac{wH^2}{2} K_{AE}$$
(8)

where:

ΔK_{AE} = dynamic increment of active earth pressure coefficient

 K_{AE} = total active pressure coefficient

FEMA

Pressure in soil, static and dynamic approximation (2)





Loadings and spillway structural evaluation prior to risk analysis

Start with Linear elastic analysis

Utilize the available load approximation methods

Evaluate structural performance

Utilize the approximation/relationship of structural performance and probability of failure

Consider nonlinear analysis if needed, which allows team to review structural failure mechanisms (concrete cracks, steel vields)





Loadings and spillway structural evaluation prior to RA





Evaluation in pier

Table 60.—2020 CR Pier Analysis Data and Strength Calculation

Property	Value	Unit	Pafaranca
	Value	Unit	
Concrete compressive strength, t _c	4.13	ksi	See ECDA Section.
Computed concrete modulus of rupture, f	0.5	ksi	AASHTO Article 5.6.3.3
Concrete splitting test value, $f_{t-split}$	0.200	ksi	See ECDA Section, Lab recommendation
Recommended dynamic tensile, ft- dynamic	0.290	ksi	See ECDA Section, Lab recommendation
Pier Geometry	Value	Unit	Reference
Cross canyon effective width, d	37	in	Dwg 258-D-180, net section at gate (Pier 3 and pier 4)
Stream direction base length, b	300	in	Dwg 258-D-180 (pier 4, section CC)
lg=bd ³ /12	1,235,561	in ⁴	
<u>y</u> t=0.5d	18.3	in	
Estimated crack moment, Mcc=frlg/yt	13,467	kip- in/section	AASHTO Equation 5.6.3.5.2- 2, ACI Eqt. 24.2.3.4
Estimated crack moment, M _©	44,891	Jb-in/in	
Allowable moment, Ma=(2/3)*Mcc	29,927	lb-in/in	ACI 318-19 Equation 24.2.3.5
Steel area in pier per ft, A _s /ft	0.31	in²/ft	
Steel yield strength, fy	33,000	psi	CRSI, Engineering Data Report No. 48
Whitney stress block depth, a	2.91	in	
Bending Arm, d-0.5a	35.2	in	
Nominal flexural strength in pier, Mn= <u>Asfy(</u> d-0.5a)	30043	lb-in/in	
Beam thickness per foot, bw	12	in	
Beam effective depth, d	37	in	Dwg 258-D-180, net section at gate (Pier 3 and pier 4)
Steel ratio pw=As/(bwd)	0.0007	unitless	
Normal concrete factor, λ	1		
Size effect modification factor, às= (2/(1+0.1*d))0.5	0.65		ACI 318-19-22.5.5.1.3
shear stress, <u>vç</u> =8(<u>λş)(</u> λ)(<u>ρw</u>)(1/3)(<u>f</u> c)0.5	30	psi	ACI 318-19-Table 22.5.5.1-c, with Nu=0
Shear, <u>Vc=vc</u> *d	1098	lb/in	
Reduced shear, 0.75*Vc	824	lþ/in	

Table 61.—Pier Analysis Flexural Results for 10K and 50K Event

Analysis Results	10K event (0.27g)	50K event (0.58g)
Average bending moment in pier from model, M _u , Jb-in/in	28,000	60,000
Allowable moment, M _a , bြ-in/in	29,927	29,927
Nominal flexural strength in pier, M _n , Jb-in/in	30,043	30,043
D/C ratio = M_u / M_a	0.9	2.0
Check	OK	No Good

Table 62.—Pier Analysis Shear Results for 10K and 50K Event

Analysis Results	10K event (0.27g)	50K event (0.58g)
Average shear in pier from model, lb/in	418	896
Reduced (allowable) shear in pier, V,, b/in	824	824
D/C ratio = Shear in model/ <u>Vn</u>	0.5	1.1
Check	ОК	No Good





Linear analysis in pier



Figure 5.—Showing the pier model and boundary condition between pier base and spillway crest interface (left) and location of the earthquake load assigned to the model (right).



Figure 6. Showing model results (flexural stress contour) from 10k event



Linear analysis in pier (2)



Figure 8.—Showing model results (bending moments) from 10k event and allowable bending moment in the pier per AASHTO code.



Figure 9.—Showing model results (shear) from 10k event and allowable bending moment in the pier per ACI318-19 code.





Loadings and spillway structural evaluation prior to RA (2)

Evaluate structural performance Utilize the approximation/relationship of structural performance and probability of failure

<u>(concrete cracks, steel yields</u>





Best Practice references





What if the structural responses show nonlinear results?



Signs of nonlinear



Shear Demand/Capacity Ratio for 0.58g





Sign of nonlinear



BOR Spillway inventory list 57 spillways that are designed before and in the 1940s.

The first seismic design code for buildings was published in 1940, one year after the destructive Erzincan earthquake.

EVOLUTION OF SEISMIC BUILDING DESIGN AND CONSTRUCTION PRACTICE IN TURKEY (https://pubs.usgs.gov/of/2001/of01-163/GENERAL_PUBLICATIONS/Sezen_StructDesignofTallBld.pdf)





Loadings and spillway structural evaluation prior to RA (3)

Start with Linear elastic analysis

Utilize the available load approximation methods

Evaluate structural performance

Utilize the approximation/relationship of structural performance and probability of failure

Consider nonlinear analysis if needed, which allows team to review structural failure mechanisms (concrete cracks, steel yields)



Nonlinear evaluation example



Figure 18.—Pier configuration (left) and hexahedron-(8 noded-solid element) mesh (right) constructed in the model.



Figure 19.—Plots shows rebar mesh modeled inside the concrete.



Figure 20.—Plot shows fixity (in both translation and rotation) boundary condition assigned at the base of the pier.



application planes for both hydro static and hydro-dynamic load.

Figure 22 shows cracks in concrete and tensile rebars yielding (Figure 23) in the 50,000-year seismic event for Loading Combination 1: 100 percent seismic loading acting in the in-stream direction plus 30 percent seismic loading acting in cross canyon direction.



50,000-year event, load combination 1: (100 percent instream and 30 percent cross canyon).



Figure 24.—Plots shows cracks registered in the concrete pier in the 50,000 yr-load combination 2: (30 percent instream+100 percent cross canyon).



Figure 25.—Tensile rebars rupture in the 50,000 yr event, load combination 2: (30 percent instream and 100 percent cross canyon).





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Nonlinear evaluation example (2)

Load

Structural responses, RC failure mechanism

Table 13.—Summary FEA results for spillway pier

Seismic event	Loading combination	Shear demand/ capacity	Concrete crack?	Tensile rebars yield?	Tensile rebar ruptures?
50,000 years,	(1) 100% instream+30%cross canyon	1.0	Yes	Yes	No
PHA=1.04g	(2) 30% instream+100%cross canyon	1.0	Yes	Yes	Yes
10,000 years,	(1) 100% instream+30%cross canyon	0.5	Yes	No	No
PHA=0.4g	(2) 30% instream+100%cross canyon	0.5	Yes	Yes	No
5,000 years,	(1) 100% instream+30%cross canyon	0.4	Yes	No	No
PHA=0.25g	(2) 30% instream+100%cross canyon	0.4	Yes	No	No



Wall nonlinear example/State of reinforcement





Wall nonlinear example/State of reinforcement (2)







Gate (2)



Potential Failure modes and KISK Analysis abrasive blasted and disassembled" Spillway Piers / Trunnion 2 1647 25 Crest line SECTION C-C Max WS CENTERU Water pressure Pressure on gate transfers from gate through Photo 39 - Pier 6. Left side of the trunnion, bottom web. Extreme section loss due to corrotion was pregate arms lis'Anc Center line between pin ings to be set level and parallel to gate sill. on the web of the Trunnion becomes -21:C's IS-a" Radial gate critical links taking all load from gate arms Photo 36 - Pier 3, left tru plate. Metal loss on shore ion for gate 1. Failed coating is leading to corrotion on the web and stiff imponents appears to be significant. This condition is typical of all the tru Photo 40 - Pier 9, left t girder (Source: Dwg. 258-D-178). Figure 4 – Section through the spillway Figure 5 – Showing Photos 35 and 36 from the 2020 Special Examination. Figure 7 - Showing Photos 39 and 40 from 2020 Special Examination.





Gate (3)

Load



Strength



Gate (4)





Multiple to the second second

Let $u_{n} = u^{-1} (u_{n})^{-1}$. These net vertices is $\left[u_{n} \log u_{n} + v \left(\frac{u_{n}}{2} + \frac{u_{n}}{2} \right) \right]_{u_{n}}^{d}$ $\left[\frac{u_{n}}{2} \left[\frac{u_{n}}{2} \log u_{n} - \cos u_{n} \right] + \frac{u_{n}}{2} \left[\frac{u_{n}}{2} \log \frac{u_{n}}{2} \log u_{n} + \log u_{n} \right] \right]_{u_{n}}^{d}$ $\left[\frac{u_{n}}{2} \log u_{n} + \log u_{n} \right] + \frac{u_{n}}{2} \left[\frac{u_{n}}{2} \log \frac{u_{n}}{2} \log u_{n} + \log u_{n} \right] \right]_{u_{n}}^{d}$ $\left[\frac{u_{n}}{2} \log u_{n} + \log u_{n} + \log u_{n} \right] + \frac{u_{n}}{2} \left[\frac{u_{n}}{2} \log u_{n} + \log u_{n} \right] \right]_{u_{n}}^{d}$

Table	4D/C	Ratio f	or Trunni	on Web	with r	espect to	Each	Combinatio

	Average	Average	Minimum	Average	Minimum	Average
	1	2	1+2	1+2	1+2+3+4	1+2+3+4
RWS Elev	Total	Total	Total	Total	Total	Total
	area of	area of				
	web,	web,	web,	web,	web,	web,
	in ² = 2.394	in ² = 2.950	in ² = 4.058	in ² = 5.344	in ² = 7.217	in²= 9.611
1547	0.00	0.00	0.00	0.00	0.00	0.00
1548	0.01	0.01	0.00	0.00	0.00	0.00
1549	0.03	0.03	0.02	0.01	0.01	0.01
1550	0.07	0.06	0.04	0.03	0.02	0.02
1551	0.13	0.10	0.07	0.05	0.04	0.03
1552	0.20	0.16	0.11	0.08	0.06	0.04
1553	0.28	0.23	0.15	0.11	0.09	0.06
1554						
(Top of						
current	0.39	0.31	0.21	0.16	0 12	0.09
reservoir	0.35	0.51	0.21	0.10	0.12	0.05
restriction						
elevation)						
1555	0.50	0.41	0.27	0.20	0.15	0.11
1556	0.63	0.51	0.34	0.26	0.19	0.14
1557	0.78	0.63	0.42	0.32	0.23	0.18
1558	0.94	0.76	0.50	0.38	0.28	0.21
1559						
(Normal						
Water	1.12	0.91	0.60	0.45	0.34	0.25
Surface						
Elevation)						
1560	1.31	1.06	0.70	0.53	0.39	0.30
1561	1.52	1.23	0.81	0.61	0.45	0.34
1562						
(Top of	1.74	1.41	0.93	0.70	0.52	0.39
gate)						





Loadings and spillway evaluation prior to RA

Start with Linear elastic analysis

Utilize the available load approximation methods

Evaluate structural performance

Utilize the approximation/relationship of structural performance and probability of failure

Consider nonlinear analysis if needed, which allows team to review structural failure mechanisms (concrete cracks, steel yields) or **even with 3D effect or other considerations**



3D effects





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3D effects (2)



Figure 24.—Stress contour plot.







Figure 27.—Time history of representative reinforcement bars as highlighted (psi)



Gate (5)

When there are other factors beside hydrodynamic load:

- Gate arm buckling
- Counterweight movement
- Nonlinear Steel Properties











Spillway slab





Flow Patterns Look Similar



Results - 2-ft Steps @ 56,000 cfs



Results – Ogee @ 56,000 cfs





Spillway Slab

Results – Flat @ 56,000 cfs

Time: 5.000

FLOW-3D



Results – 2-ft Steps @ 56,000 cfs





Spillway Slab (2)

Results – Flat @ 56,000 cfs



Results – 2-ft Steps @ 56,000 cfs



Results - Ogee @ 56,000 cfs





Other Potential Failure Modes

Topic presented in DOI Safety training in 2018

- Overtopping of the spillway chute walls
- Stagnation Pressure
- Foundation Erosion
- Hydraulic Jacking
- Concrete block sliding

(diversion dam/spillway block)

