To: All State Administrative Agency Heads
   All State Administrative Agency Points of Contact
   All Urban Areas Security Initiative Points of Contact
   All State Homeland Security Directors
   All State Emergency Management Agency Directors
   All Eligible Regional Transit Agencies
   All Private Sector Transportation Security Partners
   All Public and Private Sector Port Security Partners
   All Tribal Nation Points of Contact

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Subject: Sensitive Security Information Guidance

Treatment of Sensitive Security Information (SSI)

FEMA is issuing this bulletin to emphasize the importance of properly marking SSI in grant application materials and in submissions of data and information under transparency requirements or for reporting under the American Recovery and Reinvestment Act of 2009 (ARRA), P.L.111 - 5.

SSI is a specific category of sensitive but unclassified information (SBU) that must be protected as required by 49 Code of Federal Regulations (CFR) Part 1520 and Department of Homeland Security (DHS) Management Directive 11056.1. This category of protection was developed to prevent unauthorized disclosure of information that would be detrimental to the security of transportation, while at the same time allowing it to be shared with individuals who have a legitimate need to know. It is important to note that while SSI is not classified information, there are specific policies and procedures for recognizing, marking, protecting, safely sharing and destroying SSI.

Awareness of the proper handling and safeguarding SSI is required of all DHS and component organization employees and contractors, as well as all other stakeholders including FEMA grantees. Unauthorized disclosure of SSI information may be grounds for civil penalties and other enforcement or corrective actions including disciplinary actions and/or monetary fines. Since FEMA, through the Grant Programs Directorate (GPD), and its grantees develop and maintain a number of documents that potentially contain SSI, GPD has implemented review processes for those documents to ensure compliance of the requirements identified under 49 CFR Part 1520. These
processes will ultimately improve interactions between GPD and its stakeholders, by increasing security in the exchange of information while mitigating potential risks surrounding the release of that information.

SSI is typically information associated with transportation security activities. The following information constitutes SSI in 16 SSI Categories, under 49 CFR Part 1520:

1. Security Programs & Contingency Plans
2. Security Directives
3. Information Circulars
4. Performance Specifications
5. Vulnerability Assessments
6. Security Inspections or Investigative Information
7. Threat Information
8. Security Measures
9. Security Screening Information
10. Security Training Materials
11. Identifying Information of certain Transportation Security Personnel
12. Critical Aviation or Maritime Infrastructure Asset Information
13. Systems Security Information
14. Confidential Business Information
15. Research & Development
16. Other information as determined in writing by the TSA Administrator

Many of FEMA’s grantees handle information listed here as a regular part of their management and administration of FEMA grants. Additionally, this list may be altered as necessary, according to 49 CFR Part 1520, by the Administrator of the Transportation Security Agency (TSA). It is important to understand that even though you may not consider yourself currently affected by this list, it is subject to change. Moreover, 49 CFR Part 1520 is comprehensive in its identification and inclusion of parties potentially affected by SSI. For a full list of covered persons, please review 49 CFR Part 1520.7 “Covered Persons”.

**Specific Documents Related to Grant Programs**

GPD has completed a review of materials specifically related to its grant programs, and found that the following could either be potentially perceived as or categorically defined as containing SSI:

1. Infrastructure specific grantee applications
2. Infrastructure specific Investment Justifications
3. Semi-Annual Program Progress Reports and Attachments
4. Grant Reporting Tool (GRT) Submissions
5. Non Disaster Grants System submissions
6. Grants.gov submissions
8. Environmental and Historic Preservation (EHP) submissions, specifically those involving geospatial data

These are examples of grantee-submitted data which may contain sensitive information covered under 49 CFR Part 1520. Grantees should err on the side of caution in the labelling and tracking of SSI materials and follow the protocols described in 49 CFR Part 1520.
Labeling and Tracking of SSI Materials

All SSI materials must be properly marked. Even when only a small portion of a document contains SSI, every page of the document must be marked with the SSI header and footer shown below. (In instances where SSI documents are maintained on portable digital media, the media (including but not limited to: compact discs, universal serial bus sticks, digital tapes) containing SSI information must also be marked with a label that contains the SSI Footer.) The process of labeling documents as SSI should not be restricted to items you intend to transmit or release to other entities with a need to know; all SSI documentation must be labeled as such.

“Protective Marking (header):  SENSITIVE SECURITY INFORMATION

Distribution Limitation (footer):

WARNING: This record contains Sensitive Security Information that is controlled under 49 CFR parts 15 and 1520. No part of this record may be disclosed to persons without a “need to know”, as defined in 49 CFR parts 15 and 1520, except with the written permission of the Administrator of the Transportation Security Administration or the Secretary of transportation. Unauthorized release may result in civil penalty or other action. For U.S. government agencies, public disclosure is governed by 5 U.S.C. 552 and 49 CFR parts 15 and 1520.”

GPD is committed to protection of SSI and may reject application and other documents not properly marked. Should you suspect any activity that may include unauthorized disclosures or poor security practices, please report them immediately to the GPD SSI Coordinator, Nancy Anne Baugher, at nancy.baugher@fema.gov or 202-786-9438.