



FEMA

Fact Sheet

December 5, 2006
NIMS Integration Center
202-646-3850

FY 2007 NIMS IMPLEMENTATION¹

BACKGROUND

Homeland Security Presidential Directive 5 “*Management of Domestic Incidents*” requires States, territories, tribal entities, and local jurisdictions to adopt the National Incident Management System (NIMS). Implementing the NIMS strengthens our nation’s prevention, preparedness, response, and recovery capabilities.

FY 2007 NIMS IMPLEMENTATION MATRICES

The NIMS implementation matrices, released in October 2006, identify new implementation activities for States, territorial, tribal, and local jurisdictions in FY 2007. All jurisdictions should bear in mind that implementation activities from previous fiscal years remain on-going commitments in the present fiscal year and must continue to support all implementation activities required or underway in order to achieve full NIMS compliance. As in FY 2006, there are two distinct sets of matrices—one for States and territories and another for tribal and local jurisdictions.

- *State/ Territorial Matrices:* http://www.fema.gov/pdf/emergency/nims/imp_mtrx_states.pdf
- *Tribal/ Local Matrices:* http://www.fema.gov/pdf/emergency/nims/imp_mtrx_tribal.pdf

FY 2007 NIMS IMPLEMENTATION METRICS

On October 24, 2006, the NIMS Integration Center published new performance-based metrics for federal fiscal year (FY) 2007. These metrics replace the self-certification process used in FYs 2005 and 2006. Jurisdictions will be required to comply with FY 2007 NIMS requirements to receive FY 2008 emergency preparedness grants. *Completed metrics must be submitted to the NIMS Integration Center no later than September 30, 2007.*

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- *State/ Territory Metrics:* http://www.fema.gov/pdf/emergency/nims/comp_met_state.pdf
- *Tribal/ Local Metrics:* http://www.fema.gov/pdf/emergency/nims/comp_met_local.pdf

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TWO-TIER METRICS SYSTEM

All current NIMS implementation activities have been prioritized into a two-tier system. Though all jurisdictions must answer all questions within the FY 2007 metrics, this two-tier system recognizes that jurisdictions must affirmatively answer Tier 1 metrics to achieve full NIMS compliance in FY 2007.

¹ * This supercedes the NIMS FACT SHEET on FY2007 NIMS Compliance issued on October 30, 2006.

Fact Sheet

FY 2007 NIMS Implementation

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- **Tier 1: Critical for NIMS implementation:** FY 2007 NIMS Tier 1 metrics are based primarily² on FY 2005 required NIMS implementation actions established³ in FY 2005. These metrics measure initial phase activities which are considered foundational to all other NIMS implementation activities (such as NIMS adoption). Tier 1 metrics are designed to measure these foundational activities to ensure stakeholders have the necessary foundation for future implementation activities.
- **Tier 2: Required to measure ongoing progress of FY 2006 implementation activities.** FY 2007 NIMS Tier 2 metrics are based on FY 2007 required NIMS implementation activities⁴ which are comprised of both FY 2006 NIMS implementation activities as well as new implementation activities for FY 2007. Tier 2 metrics are designed to measure the current level of implementation of these activities and may become Tier 1 activities beginning in FY 2008, once FY 2007 NIMS implementation data can be collected and analyzed. The data collected from Tier 2 metrics may be used by the NIC to develop future implementation activities and to provide technical assistance, as necessary.

NIMS COMPLIANCE ASSISTANCE SUPPORT TOOL

NIMS Compliance Assistant Support Tool (NIMSCAST) is a free web-based, self assessment instrument for State, territorial, tribal, local, private sector, and non-governmental organizations to evaluate and report their jurisdiction's achievement of all NIMS implementation activities. In spring 2007, a new module will be integrated into the NIMSCAST to reflect the FY 2007 implementation requirements and metrics. This additional module will designate the NIMSCAST as the preferred means for all jurisdictions to assess and report on their progress relating to NIMS implementation. Although all jurisdictions are strongly encouraged to use the NIMSCAST to assess their compliance, other independently-developed technical assistance tools may be used for this purpose, provided that those tools can replicate the questions and metrics contained in the NIMSCAST.

SUBMITTING FY 2007 NIMS IMPLEMENTATION METRICS

- **States and territories** should coordinate the dissemination, sharing, and collection of NIMS implementation information with their respective local jurisdictions, tribal jurisdictions (if applicable)
- **Tribal entities** should coordinate the dissemination, sharing, and collection of NIMS implementation information with their respective State, Territory, or FEMA Region, as appropriate.
- **Local jurisdictions** should also coordinate the dissemination, sharing, and collection of NIMS implementation information with their respective State or territory.

² Some Tier 1 metrics are based on FY 2006 required implementation activities. This occurs in cases in which the FY 2006 implementation activity directly supports and/or clarifies a previous FY 2005 required implementation activity.

³ See letter from (former) Secretary of the U.S. Department of Homeland Security, Tom Ridge, to Governors about NIMS compliance and implementation, dated September 8, 2004 for details on FY 2005 required NIMS implementation activities.

⁴ With the exception of metrics identified as "New for FY 2007", the FY 2007 State/territory and tribal/local implementation matrices reinforce the required FY 2006 NIMS implementation activities.