Appendix E

CRS COMMUNITY CERTIFICATIONS

This appendix contains forms for the community certifications that are part of the documentation needed to obtain credit for certain activities under the National Flood Insurance Program's Community Rating System (CRS). The certification forms are designed to be used in conjunction with the 2013 *CRS Coordinator's Manual*.

Two required certifications are not included here. The AW-501 (Repetitive Loss Update Certification) and the AW-501 Transmittal Sheet are generated separately by the Federal Emergency Management Agency (FEMA) and provided to the community when needed.

The certifications of compliance with environmental and historic preservation requirements can be found in Appendix F.

Instructions for completing the forms can be found on page CC-ii.

Contents

- CC-213 Recertification
- CC-230 Verification
- CC-RL The Repetitive Loss List
- CC-530 Retrofitted Buildings

OMB No. 1660-0022
Expires: September 30, 2013

National Flood Insurance Program
Community Rating System

COMMUNITY CERTIFICATIONS

FEMA

OMB No. 1660-0022
Expires: September 30, 2013

Public reporting burden for this form is estimated to average 24 hours for annual recertification, per response. The burden estimate includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and submitting the form. This collection of information is required to obtain voluntary benefits. You are not required to respond to this collection of information unless it displays a valid OMB control number. Send comments regarding the accuracy of the burden estimate and any suggestions for reducing the burden to Information Collections Management, Department of Homeland Security, Federal Emergency Management Agency, 500 C Street, S.W., Washington, D.C. 20472, Paperwork Reduction Project (1660-0022).
***NOTE:** Do not send your completed form to this address.*

CRS COMMUNITY CERTIFICATIONS

The following community certifications are part of the documentation needed to obtain credit for certain activities under the National Flood Insurance Program's Community Rating System (CRS). These certifications are designed to be used in conjunction with the 2013 *CRS Coordinator's Manual*.

Sections in each certification correspond to the same numbered sections in the *Coordinator's Manual*. If a section appears to be missing, it is because that section does not call for the submission of a specific item.

It is recommended that these certifications be photocopied before they are used. They are also available in Microsoft Word® at www.CRSresources.org/200.

The "CID" at the top of each page signifies the six digit National Flood Insurance Program (NFIP) community identification number, which can be found on the community's Flood Insurance Rate Map and other NFIP documents.

FEMA Form Number 086-0-35A

Community _____ State _____ CID _____

CC-213 Recertification

Date		
If there are any changes or corrections to the information below, please cross out the old item and write in the correction.		
	Chief Executive Officer	CRS Coordinator
Name		
Title		
Address		
Phone		
E-mail		

I hereby certify that _____ [community name] is continuing to implement the activities on the attached pages as credited under the Community Rating System and described in our original application to the CRS and subsequent modifications.

I hereby certify that, to the best of my knowledge and belief, we are maintaining in force all flood insurance policies that have been required of us as a condition of federal financial assistance for insurable buildings owned by us and located in the Special Flood Hazard Area (SFHA) shown on our Flood Insurance Rate Map. I further understand that disaster assistance for any community-owned building located in the SFHA is reduced by the amount of National Flood Insurance Program (NFIP) flood insurance coverage (structure and contents) that a community should be carrying on the building, regardless of whether the community is carrying a policy.

Signed _____ (Chief Executive Officer)

Community _____ State _____ CID _____

CRS Program Data Table	A. In the SFHA	B. In a regulated floodplain outside the SFHA	C. In the rest of the community
1. Last report's number of buildings in the SFHA (bSF) (line 6, last report)			
2. Number of new buildings constructed since last report	+		
3. Number of buildings removed/demolished since last report	−		
4. Number of buildings affected by map revisions since last report (+ or –)			
5. Number of buildings affected by corporate limits changes (+ or –)			
6. Current total number of buildings in the SFHA (bSF) (total lines 1–5)			
7. Number of substantial improvement/damage projects since last report			
8. Number of repetitive loss properties mitigated since last report			
9. Number of LOMRs and map revisions (not LOMAs) since last report			
10. Acreage of the SFHA (aSFHA) as of the last report (line 13, last report)			
11. Acreage of area(s) affected by map revisions since last report (+ or –)			
12. Acreage of area(s) affected by corporate limits changes (+ or –)			
13. Current acreage of the SFHA (aSFHA) (total lines 10−12)			
14. Primary source for building data:			
15. Primary source for area data:			
16. Period covered:	Current FIRM date		
If available, the following data would be useful:			
17. Number of new manufactured homes installed since last report			
18. Number of other new 1–4 family buildings constructed since last report			
19. Number of all other buildings constructed/installed since last report			

Comments:

(Please note the number of the line to which the comment refers.)

[continued on next page]

mmunity _____ State _____ CID _____

Instructions

At the first verification visit after the 2013 *CRS Coordinator's Manual* takes effect, ONLY LINES 6 AND 13 NEED TO BE COMPLETED. These lines form the baseline data about the number of buildings and area of the SFHA for when the table is completed as part of the next annual recertification. The "period covered" entered in line 16 is the date that lines 6 and 13 are first completed.

The entire table is completed at all subsequent annual recertifications and cycle verification visits. The information in lines 6 and 13 from the last report is transferred to lines 1 and 10 in the next report.

Instructions for the Columns

Column A numbers are for the SFHA (the A and V Zones shown on the Flood Insurance Rate Map) (FIRM)). Use the FIRM currently in effect, not a draft or pending revision.

Column B is completed only if the community receives CRS credit for regulating floodplain development outside the SFHA under Activity 410 (Floodplain Mapping) or Activity 430 (Higher Regulatory Standards).

Column C numbers help relate what happens in the floodplain to what is happening in the rest of the community.

Enter "0" if there are no numbers to report for this period. Do not leave a cell blank. Do not fill in the shaded boxes.

Instructions for the Lines

Lines 1-7 deal with buildings.

- Section 301.a of the *CRS Coordinator's Manual* defines what constitutes a "building" and lists examples of structures that are not counted as "buildings" by the CRS.
- Section 302.a of the *CRS Coordinator's Manual* describes how the CRS counts buildings. For example, accessory structures are not counted.
- As noted in Section 302.a, to determine building counts, communities may use any method that yields reasonably good estimates of the number of buildings. Examples of acceptable methods are listed in Section 302.a. Precision is less important for large numbers. For example, the impact of the numbers will not change much if there are 10,000 buildings or 10,100 buildings.
- If a building is out of the SFHA, but in a parcel that is partly in the SFHA, it is not counted in column A—In the SFHA.
- In line 14, note how the building counts were obtained or estimated. Use the comments area, if needed.

Line 4 refers to map revisions. These include physical map revisions, Letters of Map Revision (LOMR), and Letters of Map Amendment (LOMA). If a building is removed from the SFHA by FEMA through a LOMA, but the community still administers its floodplain management regulations on the property, the building should not be included in the line 4 count in column A—In the SFHA. However, communities that still regulate areas removed by LOMAs can receive credit under Activities 410 or 430. If the community is receiving such credit, the building should be counted under column B—In a regulated floodplain outside the SFHA.

Line 7 is for the total number of buildings that were substantially improved plus the number of buildings that were substantially damaged during the period covered.

[continued on next page]

Community _____ State _____ CID _____

Lines 10–13 deal with areas.

- Section 403.e of the *CRS Coordinator's Manual* discusses calculating areas for CRS purposes.
- Section 403.e notes that communities "should not spend an inordinate amount of time measuring areas." As with buildings, communities may use any method that yields reasonably good estimates. Examples of acceptable approaches are listed in Section 403.e.
- Line 13 asks for the current acreage of the SFHA. The best source for this number is a GIS layer that shows the SFHA. If the community does not have GIS, the county, regional agency, or state NFIP mapping office may have SFHA layers and may be able to provide the data. If the community has a relatively recent FIRM, the study contractor or consulting engineer may have the data.
- In line 15, note how the area calculations were obtained or estimated. Use the comments area, if needed.

Lines 17–19 are voluntary, if the numbers are readily available.

- Line 17 includes replacing an existing manufactured home with a new one. The newly placed manufactured home is counted as a new, post-FIRM, building.
- The total of lines 17–19 should equal the value entered in line 2.

Community _____ State _____ CID _____

CC-230 Verification

Date of visit		FIRM Effective Date
Population		Current FIRM Date
County	ISO/CRS Specialist	
Coordinator's Manual Year		

	Chief Executive Officer	CRS Coordinator
Name		
Title		
Address		
Phone		
E-mail		

I hereby certify that _____ [community name] is implementing the following activities [check the ones that apply]. We will continue to implement these activities and will advise FEMA if any of them are not being conducted in accordance with this certification. We will cooperate with the ISO/CRS Specialist's verification visit and will submit the documentation and annual recertification needed to validate our program.

____310 (Elevation Certificates)
____320 (Map Information Service)
____330 (Outreach Projects)
____340 (Hazard Disclosure)
____350 (Flood Protection Information)
____360 (Flood Protection Assistance)
____370 (Flood Insurance Promotion)
____410 (Floodplain Mapping)
____420 (Open Space Preservation)
____430 (Higher Regulatory Standards)
____ 440 (Flood Data Maintenance)
____ 450 (Stormwater Management)
____ (Repetitive Loss Requirements)
____ 510 (Floodplain Management Planning)
____ 520 (Acquisition and Relocation)
____ 530 (Flood Protection)
____ 540 (Drainage System Maintenance)
____ 610 (Flood Warning and Response)
____ 620 (Levees)
____ 630 (Dams)

I hereby certify that, to the best of my knowledge and belief, we are maintaining in force all flood insurance policies that have been required of us as a condition of Federal financial assistance for insurable buildings owned by us and located in the Special Flood Hazard Area shown on our Flood Insurance Rate Map. I further understand that disaster assistance for any community-owned building located in the Special Flood Hazard Area is reduced by the amount of National Flood Insurance Program flood insurance coverage (structural and contents) that a community should be carrying on the building, regardless of whether the community is carrying a policy.

Signed _____ (Chief Executive Officer)

Community _____ State _____ CID _____

CC-RL The Repetitive Loss List

(See Section 501 in the *CRS Coordinator's Manual)*.

___ We have reviewed the repetitive loss list dated _____, 20_____, and [check one]

___ Attached are updated Repetitive Loss Update Certifications, AW-501; OR

___ There are no changes to FEMA's repetitive loss list.

As the current CRS Coordinator for _____[community name], I have examined the repetitive loss data provided for each of our _____[number] assigned repetitive loss properties. For each property in need of update, I have attached an AW-501 that reflects the current and accurate address, the correct National Flood Insurance Program (NFIP) community identification number, and all known mitigation actions with the primary source of funding noted. To the best of my knowledge and belief, any AW-501 not updated and submitted as part of this application has been checked and is not in need of update at this time.

Signature: _____ (Community CRS Coordinator)

To facilitate verification, please provide the names of the CRS Coordinator and local repetitive loss contact person, if other than the CRS Coordinator

	CRS Coordinator	**Repetitive Loss Contact**
Name		
Title		
Phone		
Fax		
Address		
E-mail		

Comments:

Community _____ State _____ CID _____

CC-530 Retrofitted Buildings

This certification is used for retrofitting projects that are not in a high-hazard area and that do not need to be designed or approved by a registered design professional. Completed FEMA Elevation Certificates are used for buildings retrofitted by elevation (TUE).

Part 1. For Credit Calculations Option 1 and Option 2

Part 1 is used for all submissions for credit under TUD, TUW, TUS, and TUB.

I certify that, for the buildings on the attached list,

____ All retrofitting projects were completed after the effective date of our initial FIRM: _____ [date];

____ All retrofitting projects provide protection to at least the 25-year flood level;

____ All required permits were issued for each project, or the project complies with all federal, state, and local codes and regulations;

____ None of the retrofitting projects was mandated by the substantial improvement or substantial damage requirements of our floodplain management regulations; and

____ All retrofitting projects are currently in good condition.

Part 2. For Credit Calculation Option 2

Part 2 is needed only if the community is requesting credit under Section 533.b. Option 2 for buildings submitted for credit under TUD, TUW, and TUS.

One copy of this certification can be used for multiple properties that have the same score.

Dry floodproofed buildings (TUD)

The buildings listed as property numbers _____
have been dry floodproofed and [check one]

_____ The project was designed by a registered design professional and the design accounts for openings, internal drainage, seepage, and underdrainage. (TU = 0.6)

_____ The project does not depend on human intervention to close openings; the project protects to a level less than 3 feet over the first floor; the design accounts for internal drainage, seepage, and underdrainage; and the building does not have a basement (i.e., any floor below grade on all sides). (TU = 0.4)

_____ There is no documentation of how openings, interior drainage, seepage, or underdrainage are handled. (TU = 0.2)

[continued on next page]

Community _____ State _____ CID _____

Wet floodproofed buildings (TUW)

The buildings listed as property numbers _____
have been wet floodproofed and [check one]

____ The project was designed by a registered design professional. (TU = 0.5)

____ The project was not designed by a registered design professional. (TU = 0.3)

____ The furnace, water heater, electrical breaker box, and other utilities are relocated above flood level. (TU = 0.2)

Buildings protected from sewer or sump backup (TUS)

This technique was used for property numbers _____ , which have been protected from sewer or sump backup and [check one]

____ The building is located in the SFHA. (TU = 0.2)

____ The building is located outside of the SFHA and the community has a building code or other regulations that require positive drain sewers or other measures that prevent sewer backup into new buildings. (TU = 0.1)

I certify that the items checked above are correct to the best of my knowledge.

Signature	Date
Name (printed)	Title

Appendix F

CRS COMMUNITY CERTIFICATIONS

FOR

ENVIRONMENTAL AND HISTORIC PRESERVATION

This appendix contains forms for a community's certification of its compliance with environmental and historic preservation requirements. These certifications are part of the documentation needed to obtain credit for certain activities under the National Flood Insurance Program's Community Rating System (CRS). The certification forms are designed to be used in conjunction with the 2013 *CRS Coordinator's Manual*.

Community certification forms for non-environmental aspects of the CRS can be found in Appendix E.

Instructions for completing the certification forms can be found on each page.

Contents

- CC-520EHP Acquisition and Relocation—Certification of Compliance with Environmental and Historic Preservation Requirements
- CC-530EHP Flood Protection—Certification of Compliance with Environmental and Historic Preservation Requirements
- CC-540EHP Drainage System Maintenance—Certification of Compliance with Environmental and Historic Preservation Requirements
- CC-620EHP Levees—Certification of Compliance with Environmental and Historic Preservation Requirements

OMB No. 1660-0022
Expires: September 30, 2013

National Flood Insurance Program
Community Rating System

COMMUNITY CERTIFICATIONS
for
ENVIRONMENTAL and HISTORIC PRESERVATION

FEMA

Public reporting burden for this form is estimated to average 1.6 hours for the environmental and historic preservation certifications, per response. The burden estimate includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and submitting the form. This collection of information is required to obtain voluntary benefits. You are not required to respond to this collection of information unless it displays a valid OMB control number. Send comments regarding the accuracy of the burden estimate and any suggestions for reducing the burden to Information Collections Management, Department of Homeland Security, Federal Emergency Management Agency, 500 C Street, S.W., Washington, D.C. 20472, Paperwork Reduction Project (1660-0022). ***NOTE:*** *Do not send your completed form to this address.*

FEMA Form Number 086-0-35B

Community _____ State _____ CID _____

CC-520EHP Acquisition and Relocation

Compliance with Environmental and Historic Preservation Requirements

(see Section 521.b(7) in the *CRS Coordinator's Manual*)

In the table below, list each property for which credit is desired under Activity 520, indicate the project date, and check the box that identifies the source of the project funding. Add additional copies of this page (CC-520EHP-1) as necessary. Then, complete pages CC-520EHP-2 and CC-520EHP-3, as appropriate, based on the project's funding source.

If any FEMA funding was included in the project, then the source of funding is "FEMA." FEMA funding includes FEMA Hazard Mitigation Assistance grants (under the Hazard Mitigation Grant Program or the Pre-disaster Mitigation, Flood Mitigation Assistance, Repetitive Flood Claims, or Severe Repetitive Loss programs) and FEMA Public Assistance funds. If no FEMA funding was used but any other federal funds were included (e.g., from the Corps of Engineers), then the funding source is "Other Federal Agency." If the project was funded only by state, local, and/or private funds, the source is "No Federal Funds." An NFIP claim payment, including funds provided under Increased Cost of Compliance coverage, is considered "No Federal Funds."

NOTE: *The self-certification of compliance with environmental and historic preservation requirements incorporated into this certification applies only to projects implemented after the effective date of the 2013 Coordinator's Manual.*

Property Address	**Date Project Permitted or Initiated**	**Source of Project Funding**		
		FEMA	Other Federal Agency	No Federal Funds

[continued on next page]

Community _____ State _____ CID _____

Acquisition and Relocation Projects

Project Name:
Project or Grant Number:
Project Description (or include as an attachment):

On each row of the table below, initial the box that applies to the project, signifying that the appropriate steps were taken (shaded areas are "not applicable"). Then sign at the end of the certification. More information on these programs can be found in Figure 500-5 of the *Coordinator's Manual*.

Federal Funding	No Federal Funding	**Certification Statement for Acquisition and Relocation Projects**
		All properties marked "FEMA Funded" on CC-520EHP-1 were included in the above description of the FEMA-funded project.
		State and local requirements: In addition to federal laws, implementing regulations, and executive orders, this project took into consideration the requirements of all state and local environmental and historic preservation laws, ordinances, and permits that apply to this type of project. Communication with the appropriate state agency and/or local government entity took place before project implementation. Any recommendations made by the agency or office were carried out.
		National Historic Preservation Act: If any acquired or relocated structure affected by this project was 50 years of age or older at the time of the acquisition, communication with the State Historic Preservation Officer or Tribal Historic Preservation Officer (if on tribal land or reservation) took place to determine if the structure was either on or eligible for the National Register of Historic Places (historic property) and to resolve any adverse effect(s) to the historic property.
		Protection of floodplains (E.O. 11988—Floodplain Management): Consideration was given to the project's long- and short-term adverse impacts that are associated with the occupance and modification of floodplains, and to avoiding direct and indirect support of floodplain development wherever there was a practicable alternative.
		E.O. 11990—Protection of Wetlands: Consideration was given to the possible loss or degradation of wetlands associated with the construction of this project, as well as to the preservation and enhancement of the natural and beneficial values of wetlands.
		E.O. 12898—Environmental Justice in Minority and Low-income Populations: Consideration was given to the possible negative impacts associated with the implementation of this project on minority and low-income populations, and to avoiding those impacts where practicable.

[continued on next page]

Community _____ State _____ CID _____

Federal Funding	No Federal Funding	**Certification Statement for Acquisition and Relocation Projects (cont.)**
		For projects in areas subject to the Coastal Barrier Resources Act: There was communication with the U.S. Fish and Wildlife Service regarding this project's potential to jeopardize any ecologically sensitive coastal barrier resources. Any recommendations made by the Service were carried out.
		For projects in coastal communities: There was communication concerning this project with the state's lead coastal zone management agency regarding the enforcement of the policies of the state's coastal zone management program in carrying out federally funded or federally authorized construction activities. Any recommendations made by the agency were carried out.

In addition to the above, for projects that also involved the development of a new site on which to place the relocated building(s), continue initialing in the appropriate boxes, below.

		Endangered Species Act: Consideration was given to the protection and preservation of threatened and/or endangered species (including plants and animals and their habitat) whose existence may have been threatened by the construction activities. Communication took place with the U.S. Fish and Wildlife Service (or the National Marine Fisheries Service if the project is in a coastal area) and the applicable state agencies for state-protected species and/or their habitat. Any recommendations made by the Services or state agencies were carried out.
		Archaeological and Historic Preservation Act: The effects of the proposed relocation on archaeological sites were considered.
		Point source and non-point source discharge (Clean Water Act, Section 402): Consideration was given to all permit requirements for municipal point source discharge (sewage treatment plant discharge) as well as non-point discharge (surface runoff) of a pollutant into surface waters. Communication with the Environmental Protection Agency or designated state office took place. Any recommendations made by the agencies were carried out.
		Dredge and fill materials (Clean Water Act, Section 404): Consideration was given to all permit requirements for discharging dredge or fill material into waters of the United States, including wetlands, and communication with the U.S. Army Corps of Engineers took place. Any recommendations made by the Corps were carried out.
		Farmland Protection Policy Act: Consideration was given to the protection of prime and unique farmlands in the construction of this project. Communication with the U.S. Department of Agriculture's Natural Resources Conservation Service took place. Any recommendations made by the Service were carried out.

I certify that the items initialed above are correct to the best of my knowledge. Name (signed):	
Name (printed):	
Title:	Date:

Community _____ State _____ CID _____

CC-530EHP Flood Protection

Compliance with Environmental and Historic Preservation Requirements

(see Section 531.b(4) in the *CRS Coordinator's Manual*)

NOTE: *The self-certification of compliance with environmental and historic preservation requirements incorporated into this certification applies ONLY to projects implemented AFTER the effective date of the 2013 Coordinator's Manual.*

Part A. Retrofitting Projects (TUE, TUD, TUW, TUS)

In the table below, list each retrofitted property for which credit is desired under Activity 530, indicate the type of project, check the box that identifies the source of project funding, and insert the project date. Add additional copies of this page (CC-530EHP-1) as needed for the number of properties. Then, complete pages CC-530EHP-2 and CC-530EHP-3 as appropriate, based on the project's funding source.

If any FEMA funding was included in the project, then the source of funding is "FEMA." FEMA funding includes FEMA Hazard Mitigation Assistance grants (under the Hazard Mitigation Grant Program or the Pre-disaster Mitigation, Flood Mitigation Assistance, Repetitive Flood Claims, or Severe Repetitive Loss programs) or FEMA Public Assistance funds. If no FEMA funding was used but any other federal funds were included (e.g., from the Corps of Engineers), then the funding source is "Other Federal Agency." If the project was funded only by state, local, and/or private funds, the source is "No Federal Funds." An NFIP claim payment, including funds provided under Increased Cost of Compliance coverage, is considered "No Federal Funds."

Property Address for Retrofitted Building	**Type of Project** TUE=Elevation TUD=Dry floodproofing TUW=Wet floodproofing TUS=Sewer backup	**Source of Project Funding**			**Date Project Permitted or Initiated**
		FEMA Funding	Other Federal Funding	No Federal Funds	

[continued on next page]

Community _____ State _____ CID _____

Part A. Retrofitting Projects (TUE, TUD, TUW, TUS) (cont.):

Project Name:
Project or Grant Number:
Project Description (or include as an attachment):

On each row of the table below, initial the box that applies to the project, signifying that the appropriate steps were taken (shaded areas are "not applicable"). Then sign at the end of the certification. More information on these programs can be found in Figure 500-5 in the *CRS Coordinator's Manual*.

Federal Funding	No Federal Funding	Certification Statement for Retrofitting Projects
		All properties marked as "FEMA Funded" on CC-530EHP-1 were included in the project description of the above FEMA-funded project.
		State and local requirements: In addition to federal laws, implementing regulations, and executive orders, this project took into consideration the requirements of all state and local environmental and historic preservation laws, ordinances, and permits that applied to this type of project. Communication with the appropriate state agency and/or local government entity took place before project implementation. Any recommendations made by the agency or office were carried out.
		For projects that affected buildings 50 years of age or older (National Historic Preservation Act): If any retrofitted structure affected by this project was 50 years of age or older at the time of the acquisition, communication with the State Historic Preservation Officer or Tribal Historic Preservation Officer (if on tribal land or reservation) took place to determine if the structure was either on or eligible for the National Register of Historic Places (historic property) and to resolve any adverse effect(s) to the historic property.
		Protection of floodplains (E.O. 11988—Floodplain Management): Consideration was given to the project's long- and short-term adverse impacts that are associated with the occupance and modification of floodplains, and to avoiding direct and indirect support of floodplain development wherever there was a practicable alternative.
		E.O. 11990—Protection of Wetlands: Consideration was given to the possible loss or degradation of wetlands associated with the construction of this project, as well as to the preservation and enhancement of the natural and beneficial values of wetlands.

[continued on next page]

Community _____ State _____ CID _____

Federal Funding	No Federal Funding	**Certification Statement for Retrofitting Projects (cont.)**
		E.O. 12898—Environmental Justice in Minority and Low-income Populations: Consideration was given to the possible negative impacts associated with the implementation of this project on minority and low-income populations, and to avoiding those impacts where practicable.
		For projects in areas subject to the Coastal Barrier Resources Act: There was communication with the U.S. Fish and Wildlife Service regarding this project's potential to jeopardize any ecologically sensitive coastal barrier resources. Any recommendations made by the Service were carried out.
		For projects in coastal communities: There was communication concerning this project with the state's lead coastal zone management agency regarding the enforcement of the policies of the state's coastal zone management program in carrying out federally funded or federally authorized construction activities. Any recommendations made by the agency were carried out.

I certify that the items initialed above are correct to the best of my knowledge	
Name (signed):	
Name (printed):	
Title:	Date:

[continued on next page]

Community _____ State _____ CID _____

Part B. Flood Control Projects (TUB, TUC, TUF)

In the table below, list each property for which credit is desired under Activity 530 for flood control projects (TUB, TUC, and TUF), indicate the type of project, check the box that identifies the source of project funding, and insert the project date. Add additional pages (page CC-530EHP-4 as necessary. Then, complete pages CC-530EHP-5 through CC-530EHP-11 as appropriate, based on the project's funding source.

If any FEMA funding was included in the project, then the source of funding is "FEMA." FEMA funding includes FEMA Hazard Mitigation Assistance grants (under the Hazard Mitigation Grant Program or the Pre-disaster Mitigation, Flood Mitigation Assistance, Repetitive Flood Claims, or Severe Repetitive Loss programs) or FEMA Public Assistance funds. If no FEMA funding was used but any other federal funds were included (e.g., from the Corps of Engineers), then the funding source is "Other Federal Agency." If the project was funded only by state, local, and/or private funds, the source is "No Federal Funds." An NFIP claim payment, including funds provided under Increased Cost of Compliance coverage, is considered "No Federal Funds."

NOTE: *The self-certification of compliance with environmental and historic preservation requirements incorporated into this certification applies ONLY to projects implemented AFTER the effective date of the 2013 Coordinator's Manual.*

Property Address of Flood Control Project	**Type of Project** TUB=Barriers, levees, floodwalls TUC=Bridge or culvert projects, channel modifications, storm drain improvements, diversions TUF=Storage facilities	**Source of Project Funding**			**Date Project Permitted or Initiated**
		FEMA Funding	Other Federal Funding	No Federal Funds	

[continued on next page]

Community _____ State _____ CID _____

TUB—Barriers, Levees, Floodwalls

Project Name:
Project or Grant Number:
Project Description (or include as an attachment):

On each row of the table below, initial the box that applies to the TUB project, signifying that the appropriate steps were taken (shaded areas are "not applicable"). Then sign at the end of the certification. More information on these programs can be found in Figure 500-5 in the *CRS Coordinator's Manual*.

Federal Funding	No Federal Funding	Certification Statement for Barriers, Levees, and Floodwalls (TUB)
		All properties marked as "FEMA Funded" on CC-530EHP-1 were included in the project description of the above FEMA-funded project.
		State and local requirements: In addition to federal laws, implementing regulations, and executive orders, this project took into consideration the requirements of all state and local environmental and historic preservation laws, ordinances, and permits that applied to this particular type of project. Communication with the appropriate state agency and/or local government entity took place before project implementation. Any recommendations made by the agency or office were carried out.
		For projects that affected buildings 50 years of age or older: (National Historic Preservation Act and **Archaeological and Historic Preservation Act):** (1) If any structure affected by this project was 50 years of age or older at the time of the project implementation, communication with the State Historic Preservation Officer or Tribal Historic Preservation Officer (if on tribal land or reservation) took place to determine if the structure was either on or eligible for the National Register of Historic Places (historic property) and to resolve any adverse effect(s) to the historic property. (2) The effects of the project on archaeological sites were considered.
		Protection of threatened and endangered species (Endangered Species Act): Consideration was given to the protection and preservation of threatened and/or endangered species (including plants and animals and their habitat) whose existence may have been threatened by the construction activities. Communication took place with the U.S. Fish and Wildlife Service (or the National Marine Fisheries Service if the project is in a coastal area) and the applicable state agencies for state-protected species and/or their habitat. Any recommendations made by the Services or state agencies were carried out.
		Discharge of dredge and fill materials (Clean Water Act, Section 404): Consideration was given to all permit requirements for discharging dredge and fill materials into waters of the United States, including wetlands, and communication with the U.S. Army Corps of Engineers took place. Any recommendations made by the Corps were carried out.

[continued on next page]

Community _____ State _____ CID _____

Federal Funding	No Federal Funding	**Certification Statement for Barriers, Levees, and Floodwalls (cont.)**
		Protection of floodplains (E.O. 11988—Floodplain Management): Consideration was given to the project's long- and short-term adverse impacts that are associated with the occupance and modification of floodplains, and to avoiding direct and indirect support of floodplain development wherever there was a practicable alternative.
		E.O. 11990—Protection of Wetlands: Consideration was given to the possible loss or degradation of wetlands associated with the construction of this project, as well as to the preservation and enhancement of the natural and beneficial values of wetlands.
		E.O. 12898—Environmental Justice in Minority and Low-income Populations: Consideration was given to the possible negative impacts associated with the implementation of this project on minority and low-income populations, and to avoiding those impacts where practicable.
		For projects in areas subject to the Coastal Barrier Resources Act: There was communication with the U.S. Fish and Wildlife Service regarding this project's potential to jeopardize any ecologically sensitive coastal barrier resources. Any recommendations made by the Service were carried out.
		For projects in coastal communities: There was communication concerning this project with the state's lead Coastal Zone Management agency regarding the enforcement of the policies of the state's coastal zone management program in carrying out federally funded or federally authorized construction activities. Any recommendations made by the agency were carried out.

I certify that the items initialed above are correct to the best of my knowledge.

Name (signed):

Name (printed):

Title:	Date:

[continued on next page]

Community _____ State _____ CID _____

TUC— Bridge or Culvert Projects, Channel Modification, Channel Diversion, Pump Station Improvements, Storm Drain Improvements, or Other Projects

Project Name:
Project or Grant Number:
Project Description (or include as an attachment):

On each row of the table below, initial the box that applies to the TUC project, signifying that the appropriate steps were taken (shaded areas are "not applicable"). Then sign at the end of the certification. More information on these programs can be found in Figure 500-5 in the *CRS Coordinator's Manual*.

The creditable projects include

- Bridge or culvert projects;
- Channel modification or channel diversion;
- Pump station improvements;
- Storm drain improvements; and
- Other (project not listed in Activity 530, but being considered for CRS credit).

Also see the matrix of the various environmental and historical preservation compliance requirements as they relate to these types of projects. It is available at www.CRSresources.org/500.

Federal Funding	No Federal Funding	**Certification Statement for Bridge or Culvert Projects, Channel Modification, Channel Diversion, Pump Station Improvements, Storm Drain Improvements, or Other Projects (TUC)**
		All properties marked as "FEMA Funded" on CC-530EHP-1 were included in the project description of the above FEMA-funded project.
For bridge or culvert projects, channel modification, channel diversion, storm drain improvements, pump station improvements, or other projects:		
		State and local requirements: In addition to federal laws, implementing regulations, and executive orders, this project took into consideration the requirements of all state and local environmental and historic preservation laws, ordinances, and permits that applied to this type of project. Communication with the appropriate state agency and/or local government entity took place before project implementation. Any recommendations made by the agency or office were carried out.
		Protection of threatened and endangered species (Endangered Species Act): Consideration was given to the protection and preservation of threatened and/or endangered species (including plants and animals and their habitat) whose existence may have been threatened by the construction activities. Communication took place with the U.S. Fish and Wildlife Service (or the National Marine Fisheries Service if the project is in a coastal area) and the applicable state agencies for state-protected species and/or their habitat. Any recommendations made by the Services or state agencies were carried out.
		Protection of floodplains (E.O. 11988—Floodplain Management): Consideration was given to the project's long- and short-term adverse impacts that are associated with the occupance and modification of floodplains, and to avoiding direct and indirect support of floodplain development wherever there was a practicable alternative.

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Community _____ State _____ CID _____

Federal Funding	No Federal Funding	**Certification Statement for Bridge or Culvert Projects, Channel Modification, Channel Diversion, Pump Station Improvements, Storm Drain Improvements, or Other Projects (cont.)**
Bridge or culvert projects, channel modification, channel diversion, storm drain improvements, pump station improvements, or other projects (cont.)		
		E.O. 11990—Protection of Wetlands: Consideration was given to the possible loss or degradation of wetlands associated with the construction of this project, as well as to the preservation and enhancement of the natural and beneficial values of wetlands.
		E.O. 12898—Environmental Justice in Minority and Low-income Populations: Consideration was given to the possible negative impacts associated with the implementation of this project on minority and low-income populations, and to avoiding those impacts where practicable.
		For projects in areas subject to the Coastal Barrier Resources Act: There was communication with the U.S. Fish and Wildlife Service regarding this project's potential to jeopardize any ecologically sensitive coastal barrier resources. Any recommendations made by the Service were carried out.
		For projects in coastal communities: There was communication concerning this project with the state's lead coastal zone management agency regarding the enforcement of the policies of the state's coastal zone management program in carrying out federally funded or federally authorized construction activities. Any recommendations made by the agency were carried out.
In addition to the above, for bridge or culvert projects, storm drain improvements, or other projects:		
		For projects that affected structures 50 years of age or older: (National Historic Preservation Act): If any protected structure affected by this project was 50 years of age or older at the time of the project, communication with the State Historical Preservation Officer or Tribal Historical Preservation Officer (if on tribal land or reservation) took place to determine if the structure was either on or eligible for the National Register of Historic Places (historic property) and to resolve any adverse effect(s) to the historic property.
In addition to the above, for bridge or culvert projects, channel modification, channel diversion, storm drain improvements, or other projects:		
		Archaeological and Historic Preservation Act: The effects of the project on archaeological sites were considered.
In addition to the above, for bridge or culvert projects, channel modification, channel diversion, and other projects:		
		Dredge and fill materials (Clean Water Act, Section 404): Consideration was given to all permit requirements for discharging dredge and fill material into waters of the United States, including wetlands, and communication with the U.S. Army Corps of Engineers took place. Any recommendations made by the Corps were carried out.
		Rivers and Harbors Act, Section 10: Consideration was given to any permit requirements for the project's impact on navigable waterways. Communication with the U.S. Army Corps of Engineers took place.

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Community _____ State _____ CID _____

Federal Funding	No Federal Funding	**Certification Statement for Bridge or Culvert Projects, Channel Modification, Channel Diversion, Pump Station Improvements, Storm Drain Improvements; or Other Projects (cont.)**
For bridge or culvert projects, channel modification, channel diversion, and other projects (cont.):		
		Fish and Wildlife Coordination Act: Consideration was given to the potential for this project to result in the control or modification of a natural stream or body of water. Communication with the U.S. Fish and Wildlife Service (or National Marine Fisheries Service if the project is in a coastal area) took place. Any recommendations made by the agencies were carried out.
For pump station improvements, storm drain improvements, and other projects:		
		Point source and non-point source discharge (Clean Water Act, Section 402): Consideration was given to all permit requirements for municipal point source discharge (sewage treatment plant discharge) as well as non-point discharge (surface runoff) of a pollutant into surface waters. Communication with the Environmental Protection Agency or designated state office took place. Any recommendations made by the agencies were carried out.
For channel modification, channel diversion, and other projects:		
		Farmland Protection Policy Act: Consideration was given to the protection of prime and unique farmlands in the construction of this project. Communication with the U.S. Department of Agriculture, Natural Resources Conservation Service took place. Any recommendations made by the Service were carried out.

I certify that the items initialed above are correct to the best of my knowledge.

Name (signed):

Name (printed):

Title:	Date:

[continued on next page]

Community _____ State _____ CID _____

TUF—Storage Facilities

Project Name:
Project or Grant Number:
Project Description (or include as an attachment):

On each row of the table below, initial the box that applies to the TUF project, signifying that the appropriate steps were taken (shaded areas are "not applicable"). Then sign at the end of the certification. More information on these programs can be found in Figure 500-5 in the *CRS Coordinator's Manual*.

Federal Funding	No Federal Funding	**Certification Statement for Storage Facilities (TUF)**
		All properties marked as "FEMA Funded" on CC-530EHP-1 were included in the project description of the above FEMA-funded project.
		State and local requirements: In addition to federal laws, implementing regulations, and executive orders, this project took into consideration the requirements of all state and local environmental and historic preservation laws, ordinances, and permits that applied to this type of project. Communication with the appropriate state agency and/or local government entity took place before project implementation. Any recommendations made by the agency or office were carried out.
		Archeological and Historic Preservation Act): The effects of the project on archaeological sites were considered.
		Protection of threatened and endangered species (Endangered Species Act): Consideration was given to the protection and preservation of threatened and/or endangered species (including plants and animals and their habitat) whose existence may have been threatened by the construction activities. Communication took place with the U.S. Fish and Wildlife Service (or the National Marine Fisheries Service if the project is in a coastal area) and the applicable state agencies for state-protected species and/or their habitat. Any recommendations made by the Services or state agencies were carried out.
		Point source and non-point source discharge (Clean Water Act, Section 402): Consideration was given to all permit requirements for municipal point source discharge (sewage treatment plant discharge) as well as non-point discharge (surface runoff) of a pollutant into surface waters. Communication with the Environmental Protection Agency or designated state office took place. Any recommendations made by the agencies were carried out.
		Dredge and fill materials (Clean Water Act, Section 404): Consideration was given to all permit requirements for discharging dredge and fill materials into waters of the United States, including wetlands, and communication with the U.S. Army Corps of Engineers took place. Any recommendations made by the Corps were carried out.

[continued on next page]

Community _____ State _____ CID _____

Federal Funding	No Federal Funding	**Certification Statement for Storage Facilities (cont.)**
		Farmland Protection Policy Act: Consideration was given to the protection of prime and unique farmlands. Communication with the U.S. Department of Agriculture's Natural Resources Conservation Service took place. Any recommendations made by the Service were carried out.
		Protection of floodplains (E.O. 11988-Floodplain Management): Consideration was given to the project's long- and short-term adverse impacts that are associated with the occupance and modification of floodplains, and to avoiding direct and indirect support of floodplain development wherever there was a practicable alternative.
		E.O. 11990—Protection of Wetlands: Consideration was given to the possible loss or degradation of wetlands associated with the construction of this project, as well as to the preservation and enhancement of the natural and beneficial values of wetlands.
		E.O. 12898—Environmental Justice in Minority and Low-income Populations: Consideration was given to the possible negative impacts associated with the implementation of this project on minority and low-income populations, and to avoiding those impacts where practicable.
		For projects in areas subject to the Coastal Barrier Resources Act: There was communication with the U.S. Fish and Wildlife Service regarding this project's potential to jeopardize any ecologically sensitive coastal barrier resources. Any recommendations made by the Service were carried out.
		For projects in coastal communities: There was communication concerning this project with the state's lead coastal zone management agency regarding the enforcement of the policies of the state's coastal zone management program in carrying out federally funded or federally authorized construction activities. Any recommendations made by the agency were carried out.

I certify that the items initialed above are correct to the best of my knowledge.

Name (signed):

Name (printed):

Title:	Date:

Community _____ State _____ CID _____

CC-540EHP Drainage System Maintenance

Compliance with Environmental and Historic Preservation Requirements

(see Section 541.b(4) in the *CRS Coordinator's Manual*).

On each row of the table below, initial to signify that the described steps were (or are) taken. Sign at the end of the certification. More information on these programs can be found in Figure 500-5 in the *Coordinator's Manual*.

NOTE: *To receive credit under Activity 540, the self-certification of compliance with environmental and historical preservation requirements incorporated in this certification must be submitted with a CRS application, a modification, or a cycle verification.*

All Projects	Certification Statement for Drainage System Maintenance
	State and local requirements: In addition to federal laws, implementing regulations, and executive orders, our drainage maintenance activities take into consideration the applicable requirements of all state and local environmental and historic preservation laws, ordinances, and permits.
	Protection of threatened and endangered species (Endangered Species Act): Consideration is given to the protection and preservation of threatened and/or endangered species (including plants and animals and their habitat) whose existence may be threatened by the maintenance activities. Communication takes place with the U.S. Fish and Wildlife Service (or the National Marine Fisheries Service if activity is in a coastal area) and the applicable state agencies for state-protected species and/or their habitat. Any recommendations made by the federal or state agencies are carried out
	Dredge and fill materials (Clean Water Act, Section 404): Consideration is given to all permit requirements for discharging dredge and fill material into waters of the United States, including wetlands, and communication with the U.S. Army Corps of Engineers takes place. Any recommendations made by the Corps are carried out.
	For all activities that involve heavy equipment and result in the disturbance and release of sediment, such as dredging, channel alteration, bank stabilization, debris removal, and other activities, consideration is given to any permit requirements under the **Clean Water Act, Section 404**. Communication with the U.S. Army Corps of Engineers takes place. Any recommendations made by the Corps are carried out.

I certify that the items initialed above are correct to the best of my knowledge.

Name (signed):

Name (printed):

Title:	Date:

Community _____ State _____ CID _____

CC-620EHP Levees

Compliance with Environmental and Historic Preservation Requirements

(see Section 622.a in the *CRS Coordinator's Manual*).

On each line of the table below, initial to signify that the described steps were (or are) taken. Sign at the end of the form. More information on these programs can be found in Figure 500-5 in the *Coordinator's Manual*.

NOTE: *To receive credit under Activity 620, the self-certification of compliance with environmental and historical preservation requirements incorporated in this form (CC-620EHP-1) must be submitted with a CRS application, a modification, or a cycle verification.*

All Projects	Certification Statement for Levee Maintenance
	State and local requirements: In addition to federal laws, implementing regulations, and executive orders, our levee maintenance activities take into consideration the applicable requirements of all state and local environmental and historic preservation laws, ordinances, and permits.
	Protection of threatened and endangered species (Endangered Species Act): Consideration is given to the protection and preservation of threatened and/or endangered species (including plants and animals and their habitat) whose existence may be threatened by the maintenance activities. Communication takes place with the U.S. Fish and Wildlife Service (or the National Marine Fisheries Service if the activities are in a coastal area) and the applicable state agencies for state-protected species and/or their habitat. Any recommendations made by the federal or state agencies are carried out.
	Dredge and fill materials (Clean Water Act, Section 404): Consideration is given to all permit requirements for discharging dredge and fill material into waters of the United States, including wetlands, and communication with the U.S. Army Corps of Engineers takes place. Any recommendations made by the Corps are carried out.
	For all activities that involve heavy equipment and result in the disturbance and release of sediment, such as dredging, channel alteration, bank stabilization, debris removal, and other activities, consideration is given to any permit requirements under the **Clean Water Act, Section 404**. Communication with the U.S. Army Corps of Engineers takes place. Any recommendations made by the Corps are carried out.

I certify that the items initialed above are correct to the best of my knowledge.

Name (signed):

Name (printed):

Title:	Date: