



Homeland Security

FY 2013 Homeland Security Grant Program (HSGP)

Overview

As appropriated by the *Department of Homeland Security Appropriations Act, 2013* (Public Law 113-6); and authorized by the Implementing Recommendations of the 9/11 Commission Act of 2007 (Public Law 110-53) (hereafter “9/11 Act”) and the *Homeland Security Act of 2002* (6 U.S.C. § 101 et seq.); the Fiscal Year (FY) 2013 Homeland Security Grant Program (HSGP) provides funding to states, territories, urban areas, and other local governments to prevent, protect against, mitigate, respond to, and recover from potential terrorist attacks and other hazards.

In Fiscal Year 2013, DHS will award \$968,389,689 to enhance the ability of states, territories, and Federally-recognized tribes to prevent, protect against, respond to, and recover from potential terrorist acts and other hazards.

The FY 2013 HSGP plays an important role in the implementation of the National Preparedness System (NPS) by supporting the building, sustainment, and delivery of core capabilities essential to achieving the National Preparedness Goal (NPG) of a secure and resilient Nation. HSGP is comprised of three related grant programs: the State Homeland Security Program (SHSP), Urban Areas Security Initiative (UASI), and Operation Stonegarden (OPSG). Per the Homeland Security Act of 2002 (Public Law 107-296), Title XX, § 2006, as amended by the 9/11 Act, Title 1, §101, August 3, 2007, 121 Stat. 280, 6 U.S.C. § 607, states are required to ensure that at least 25 percent (25%) of the combined HSGP funds allocated under SHSP and UASI are dedicated towards Law Enforcement Terrorism Prevention Activities (LETPA) linked to one or more core capabilities of the NPG. The LETPA allocation can be from SHSP, UASI, or both.

Funding

In FY 2013, the total amount of funds distributed under the HSGP grant is \$968,389,689. Below is the funding distribution across FY 2013 HSGP’s three related grant programs:

HSGP Programs	FY 2013 Allocation
State Homeland Security Program	\$354,644,123
Urban Areas Security Initiative	\$558,745,566
Operation Stonegarden	\$55,000,000
Total	\$968,389,689

- **SHSP:** FY 2013 SHSP funds were allocated based on three factors: minimum amounts as legislatively mandated, DHS’s risk methodology, and anticipated effectiveness based on the strength of the applicant’s Investment Justification (IJ). Each state and territory received a minimum allocation under SHSP using the thresholds established in the 9/11 Act. All 50

States, the District of Columbia, and Puerto Rico received 0.35 percent of the total funds allocated for grants under Section 2003 and Section 2004 of the *Homeland Security Act of 2002*, as amended by the 9/11 Act, for SHSP. Four territories (American Samoa, Guam, the Northern Mariana Islands, and the U.S. Virgin Islands) received a minimum allocation of 0.08 percent of the total funds allocated for grants under Section 2003 and 2004 of the *Homeland Security Act of 2002*, as amended by the 9/11 Act.

- **UASI:** FY 2013 UASI funds were allocated based on DHS’ risk methodology and anticipated effectiveness based on the strength of the IJ. Eligible candidates for the FY 2013 UASI program were determined through an analysis of relative risk of terrorism faced by the 100 most populous metropolitan statistical areas in the United States, in accordance with the 9/11 Act.
- **OPSG:** FY 2013 OPSG funds were allocated based on risk-based prioritization using a U.S. Customs and Border Protection (CBP) sector-specific border risk methodology to include, but not limited to: threat, vulnerability, miles of border, and other border-specific “law enforcement intelligence,” as well as feasibility of FY 2013 Operation Orders to designated localities within the United States border States and territories.

Eligibility

The FY 2013 HSGP supports a diverse group of state, territorial, tribal, and local governments. Eligible recipients under the three HSGP programs include the following:

- **SHSP:** All 50 states, the District of Columbia, Puerto Rico, American Samoa, Guam, Northern Mariana Islands and the U.S. Virgin Islands
- **UASI:** The 25 eligible Urban Areas identified in the FY 2013 HSGP Funding Opportunity Announcement (FOA)
- **OPSG:** Local units of government at the county level and Federally-recognized tribal governments in the states bordering Canada, (including Alaska), states bordering Mexico and states and territories with international water borders

The State Administrative Agency (SAA) was the only entity eligible to submit applications to FEMA for HSGP.

Funding Guidelines

For FY 2013 HSGP, allowable Investments made in support of the HSGP priorities as well as other capability-enhancing projects fell into the categories of planning, organization, equipment, training, or exercises. Grantees and sub-grantees may retain a maximum of up to five percent (5%) of their individual HSGP awards (including OPSG) for the Management and Administration (M&A) costs that may be used for paying expenses directly related to the administration of the grant award. The period of performance for HSGP is 24 months from the date of award. For additional information regarding allowable costs, including M&A, please see the FY 2013 HSGP Funding Opportunity Announcement (FOA).

Key FY 2013 HSGP Changes

- In response to Congressional direction, the number of urban areas receiving funding under the UASI program decreased from 31 to 25.
- In order to strengthen the correlation of projects to the National Preparedness Goal (NPG), FY 2013 HSGP included a program priority focused on *Strengthening Governance Integration*. This priority ensures that resources are targeted to support the most critical needs of a community based on risk driven, capabilities-based planning. Strong and inclusive governance systems better ensure that disparate funding streams are coordinated and applied for maximum impact.
- Two additional program priorities were added under FY 2013 HSGP:
 1. Innovation and Sustained Support for the National Campaign for Preparedness; and
 2. Improve Immediate Emergency Victim Care at Mass Casualty Events.

Application Process and Evaluation Criteria

As part of the FY 2013 HSGP application process for SHSP and UASI, applicants were required to submit an Investment Justification (IJ) addressing each Investment being proposed for funding. Applicants were required to demonstrate how each IJ supports the building, sustainment, and delivery of existing core capabilities or addresses shortfalls and deficiencies in one or more core capabilities outlined in the NPG and identified in their most recent State Preparedness Report (SPR). Applicants were required to describe engagement with and/or impacts on the general and vulnerable populations, to include children, the elderly, pregnant women, and individuals with disabilities, such as those with access and functional needs. Consistent with the purpose of the program, applicants were required to demonstrate how their IJs aligned to Urban Area, state, and regional Threat and Hazard Identification and Risk Assessments (THIRAs), SPRs, national priorities, and applicable guidance provided by FEMA.

The following process was used to evaluate the anticipated effectiveness of the proposed Investments and to make awards under the SHSP and UASI:

- FEMA verified compliance with all administrative and eligibility criteria identified in the FOA, to include the required submission of the IJ by the established due dates.
- IJs were evaluated for completeness, adherence to programmatic guidelines, and anticipated effectiveness of the proposed Investments. Only the information included in the IJ was assessed in the review process. State and Urban Area homeland security strategies were reviewed to ensure overall strategic alignment of the Investments, but were not scored.

As part of the FY 2013 OPSG application process, each eligible local unit of government at the county level or Federally-recognized tribal government developed their Operations Orders in coordination with state and federal law enforcement agencies, to include, but not limited to CBP/Border Patrol (BP). Operations Orders that were developed at the county level were inclusive of city, county, tribal and other local law enforcement agencies eligible to participate in OPSG operational activities, and the Operations Order addressed this in the Executive Summary. The

details included the names of the agencies, the points of contact, and the individual funding requests. All applications were coordinated through the CBP sector office which forwarded application to the SAA for review.

HSGP Resources

There are a variety of resources available to address programmatic, technical, and financial questions which can assist with HSGP.

- The FY 2013 HSGP FOA is located online at: <http://www.fema.gov/grants> as well as on <http://www.grants.gov>.
- For additional program-specific information, please contact the Centralized Scheduling and Information Desk (CSID) help line at (800) 368-6498 or AskCSID@dhs.gov. CSID hours of operation are from 9:00 a.m. to 5:30 p.m. EST, Monday through Friday.
- For financial-related questions, including pre-and post-award administration and technical assistance, applicants may contact the FEMA Grant Programs Directorate Call Center at (866) 927-5646 or via e-mail to ASK-GMD@dhs.gov.

FY 2013 SHSP Funding Table

State/Territory	Allocation	State/Territory	Allocation
Alabama	\$3,459,364	Montana	\$3,459,364
Alaska	\$3,459,364	Nebraska	\$3,459,364
American Samoa	\$790,712	Nevada	\$3,459,364
Arizona	\$3,972,417	New Hampshire	\$3,459,364
Arkansas	\$3,459,364	New Jersey	\$7,264,241
California	\$52,204,659	New Mexico	\$3,459,364
Colorado	\$3,459,364	New York	\$66,732,460
Connecticut	\$3,459,364	North Carolina	\$4,773,429
Delaware	\$3,459,364	North Dakota	\$3,459,364
District of Columbia	\$3,581,094	Northern Mariana Islands	\$790,712
Florida	\$9,574,272	Ohio	\$6,693,676
Georgia	\$5,918,784	Oklahoma	\$3,459,364
Guam	\$790,712	Oregon	\$3,459,364
Hawaii	\$3,459,364	Pennsylvania	\$8,718,570
Idaho	\$3,459,364	Puerto Rico	\$3,459,364
Illinois	\$14,222,962	Rhode Island	\$3,459,364
Indiana	\$3,459,364	South Carolina	\$3,459,364
Iowa	\$3,459,364	South Dakota	\$3,459,364
Kansas	\$3,459,364	Tennessee	\$3,459,364
Kentucky	\$3,459,364	Texas	\$18,650,481
Louisiana	\$3,459,364	U.S. Virgin Islands	\$790,712
Maine	\$3,459,364	Utah	\$3,459,364
Maryland	\$5,325,727	Vermont	\$3,459,364
Massachusetts	\$4,888,662	Virginia	\$6,446,710
Michigan	\$5,789,215	Washington	\$5,646,176
Minnesota	\$3,459,364	West Virginia	\$3,459,364
Mississippi	\$3,459,364	Wisconsin	\$3,459,364
Missouri	\$3,459,364	Wyoming	\$3,459,364
Total			\$354,644,123

FY 2013 UASI Funding Table

State/Territory	Urban Area	Allocation
Arizona	Phoenix Area	\$5,500,000
California	Anaheim/Santa Ana Area	\$3,000,000
	Bay Area	\$27,252,169
	Los Angeles/Long Beach Area	\$65,908,396
	Sacramento Area	\$3,000,000
	San Diego Area	\$16,873,461
Colorado	Denver Area	\$3,000,000
District of Columbia	National Capital Region	\$51,839,027
Florida	Miami/Fort Lauderdale Area	\$5,500,000
	Tampa Area	\$3,000,000
Georgia	Atlanta Area	\$5,500,000
Illinois	Chicago Area	\$67,727,836
Maryland	Baltimore Area	\$5,500,000
Massachusetts	Boston Area	\$17,564,687
Michigan	Detroit Area	\$5,500,000
Minnesota	Twin Cities Area	\$5,500,000
Missouri	St. Louis Area	\$3,000,000
New Jersey	Jersey City/Newark Area	\$21,663,035
New York	New York City Area	\$174,290,662
North Carolina	Charlotte Area	\$3,000,000
Pennsylvania	Philadelphia Area	\$17,567,086
	Pittsburgh Area	\$3,000,000
Texas	Dallas/Fort Worth/Arlington Area	\$14,622,684
	Houston Area	\$23,936,523
Washington	Seattle Area	\$5,500,000
Total		\$558,745,566

FY 2013 OPSG Funding Table

State	Allocation
Alabama	\$155,206
Arizona	\$12,005,449
California	\$9,425,732
Florida	\$954,977
Idaho	\$49,121
Louisiana	\$96,538
Maine	\$924,422
Michigan	\$766,193
Minnesota	\$596,623
Montana	\$960,059
New Hampshire	\$182,333
New Mexico	\$3,146,501
New York	\$1,707,382
North Dakota	\$637,406
Ohio	\$754,422
Pennsylvania	\$177,396
Puerto Rico	\$835,259
Texas	\$19,422,318
Vermont	\$491,358
Washington	\$1,711,305
Total	\$55,000,000