

Sandy Recovery Improvement Act

Hazard Mitigation Grant Program (HMGP) Streamlining,
Advance Funding,
Program Administration by States (PAS)

Franki Coons, Acting Chief, Grants Implementation
Cecelia Rosenberg, Chief, Grants Policy



FEMA

FEMA Regional Briefing
April 16, 2013

HMGP Vital Signs 1989–2012



*All values represent disaster activity from 1989 forward

	Challenges	Fixes
Program Development and Review	<ol style="list-style-type: none"> 1. Dead Time – Application Period 2. Incomplete Applications 3. Exhaustive Reviews 4. Minimal Projects Result from Plans 5. Communities without Plans 	<ol style="list-style-type: none"> 1. Earlier Project Identification by States/Locals via Plans 2. Streamlined Reviews 3. Complete Applications 4. Establish Timeframes for Project Management Processes in HMA Guidance
Implementation and Costs Incurred	<ol style="list-style-type: none"> 1. Completing Projects in a Timely Manner 2. Earlier Identification of Scope Changes 	<ol style="list-style-type: none"> 1. Compartmentalizing Program Administration Steps 2. Post Award Monitoring 3. Improve Closeout Guidance
In Process	<ol style="list-style-type: none"> 1. Completing Projects in a Timely Manner 2. Earlier Identification of Scope Changes 	<ol style="list-style-type: none"> 1. Potential for Phased Obligation 2. Establish Timeframes for Project Management Processes & Decisions 3. Compartmentalizing Program Administration Steps

NOTE: Avg Pct of Funds Obligated @ 24 MO ≈ 30%
 36 MO ≈ 50%



FEMA

Sandy Recovery Improvement Act

Directs FEMA to identify and develop streamlining procedures that will expedite the delivery of HMGP funding

- **Existing and New Streamlining Features**
- **Program Administration by States Pilot**
- **Advance Assistance and requirement to expend obligated funds**

- **Effective for disasters/emergencies declared on or after 1/29/13; and**
- **Prior declarations with application periods open on 1/29/13**



FEMA

Outreach

- ▶ **Workgroup Convened (Feb 2013)**
 - **Regional Division Directors interviewed for feedback**
 - **Regions reviewed proposed documents**
- ▶ **Presentation at NEMA (March 18)**
- ▶ **Presentations to Regions 2, 3, 4 and 10**
- ▶ **Roll-out to NEMA and FEMA Regions**
 - **PAS April 2nd**
 - **Advance Assistance and Streamline HMGP**



FEMA

Streamline HMGP

In addition to PAS and Advance Assistance:

■ **Six additional efficiencies identified**

- 1. *Minimum criteria for complete applications***
- 2. *Timeframes for review actions and decisions***
- 3. *Phasing projects***
- 4. *Industry cost guides for estimates***
- 5. *Industry design and construction standards***
- 6. *Pre-calculated benefits***



FEMA

Program Administration by States

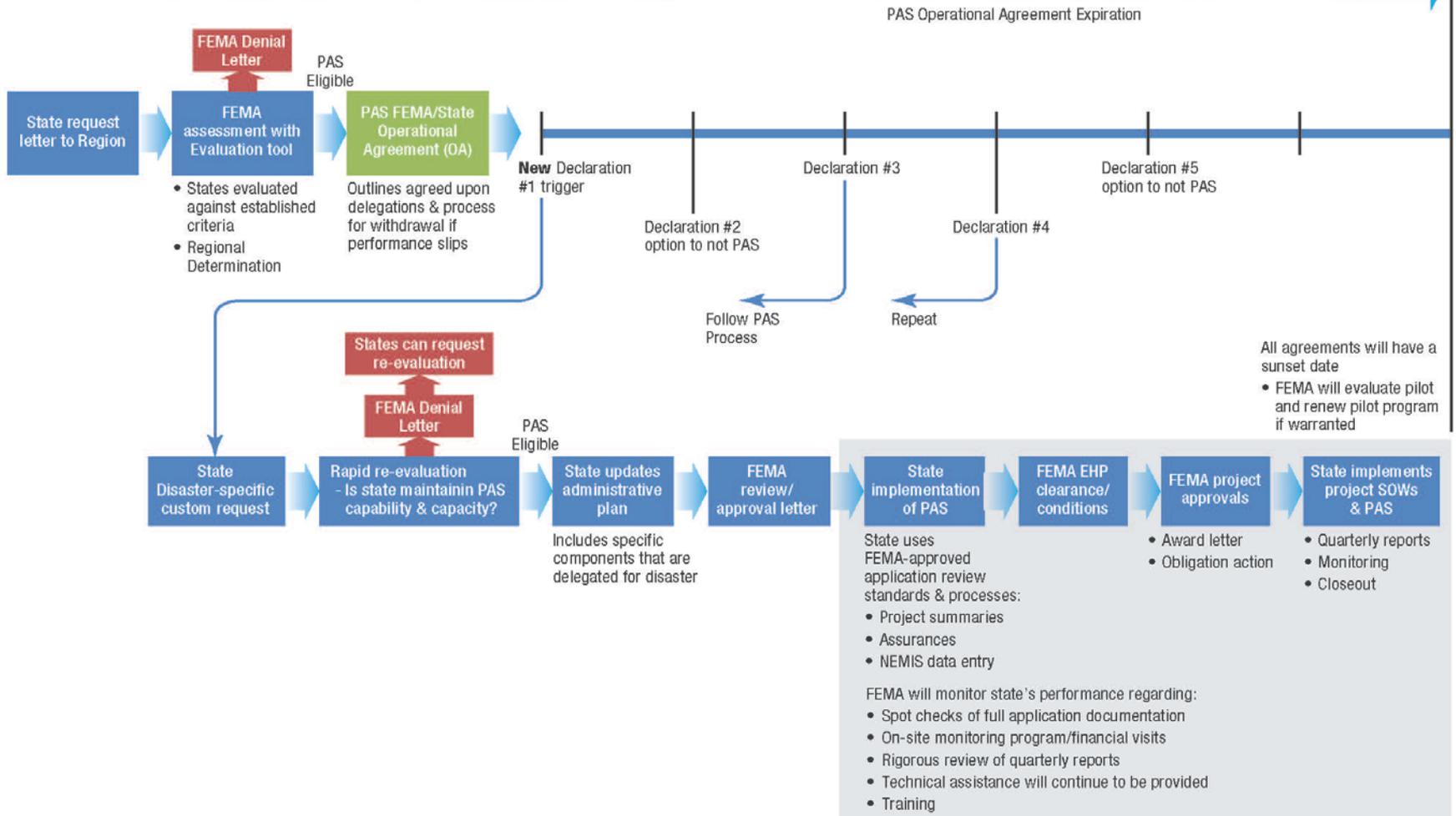
- **Allows FEMA to delegate certain program responsibilities to State**
- **Allows for greater control over program by States**
- **Pilot authorized until regulations are finalized**
- **Voluntary initiative**
- **Scalable – no “one size fits all”**
- **Operational agreement and Admin Plan for each disaster**
- **Performance review**



FEMA

Program Administration by States (PAS) Pilot – Process Flow

FEMA Funding



February 2013

Advance Assistance Pilot

- ❑ **Maximize existing funding / project types**
- ❑ **State Management Costs (SMC)**
- ❑ **Planning Grants (7%)**
- ❑ **Code Support and Outreach and Education Projects (5%)**
- ❑ **Phased projects – when technical data must be gathered or developed to support full eligibility for a known project**
- ❑ **Pre-award cost allowances**



FEMA

Advance Assistance

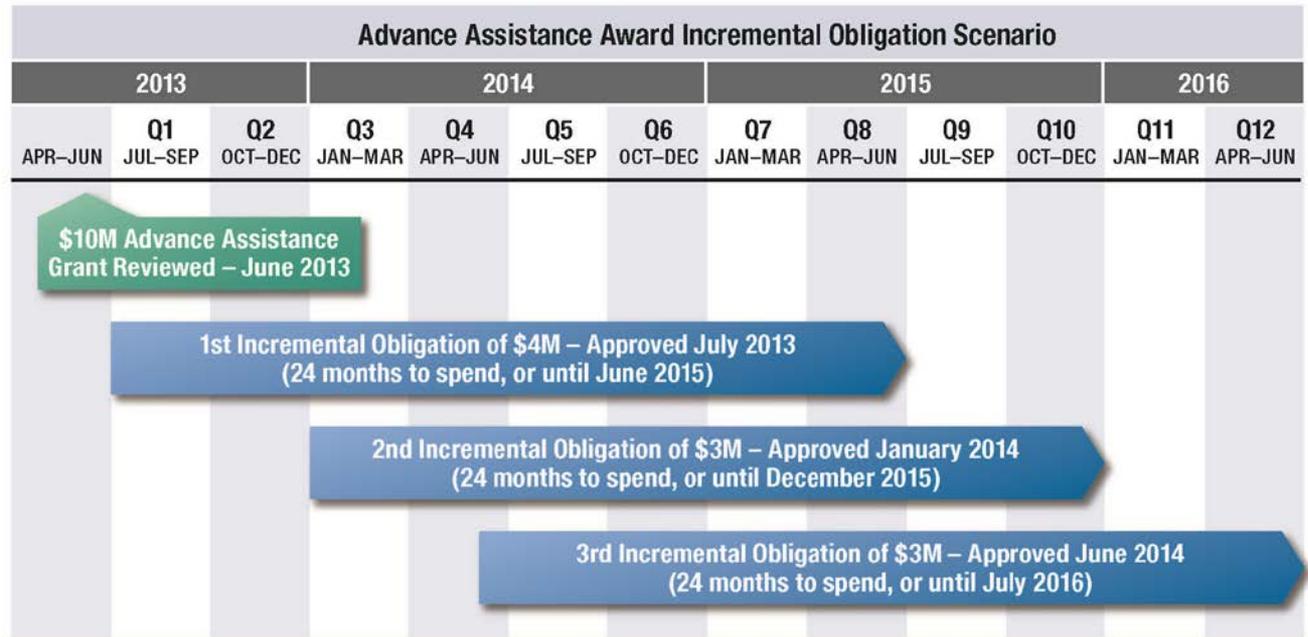
▶ Advance Assistance Pilot

- **Up to 25% of estimated ceiling, limit of \$10 million**
 - **Leverage resources across complex programs with (in)compatible requirements**
 - **Evaluate multiple areas / facilities to determine effective mitigation**
 - **Incorporate NEPA into decision-making**
 - **Obtain technical resources to gather data, perform BCA and initiate EHP and program data collection**
 - **Develop complete applications that equal or exceed available ceiling**
 - **Incorporate strategic fund management into budget and work schedule**
 - **Incorporate Grantee level consistency review**
 - **Evaluate implementing Program Administration by States**
- **Pilot cannot duplicate actions in other projects, or those funded under SMC**



FEMA

Advance Assistance



The maximum period of performance for Advance Assistance, as with all HMGP project applications, is 36 months.



FEMA

Drawdown Requirement

- **Title IX, Section 904 (c)-Obligated funds shall be expended within 24 months or returned to the Agency**
- **Does not require each project to have a 24 month performance period**
- **Requires increased oversight and monitoring by Grantee and FEMA**
- **Strategic Fund Management provides process to meet this requirement**



FEMA

Next Steps

- **Presentations to Sandy Impacted States by April 30th**
- **Program Administration by States**
 - ▶ **Regions should contact States and report back to FEMA by April 30**
 - ▶ **Future input from stakeholders- Advance Notice of Proposed Rulemaking**



FEMA

HMGP Actions

CONTACT INFORMATION:

- Franki.Coons@fema.dhs.gov 202-646-3079
- Cecelia.Rosenberg@fema.dhs.gov 202-646-3321



FEMA