



# Homeland Security

## FY 2013 Homeland Security Grant Program (HSGP)

### Overview

As appropriated by The Department of Homeland Security Appropriations Act, 2013 (Public Law 113-6); and authorized by the *Implementing Recommendations of the 9/11 Commission Act of 2007* (Public Law 110-53) (hereafter “9/11 Act”) and the *Homeland Security Act of 2002* (6 U.S.C. §101 et seq.); the Fiscal Year (FY) 2013 Homeland Security Grant Program (HSGP) provides funding to states, territories, urban areas, and other local governments to prevent, protect against, respond to, mitigate, and recover from potential terrorist attacks and other hazards.

*In Fiscal Year 2013, DHS will award \$968,389,689 to enhance the ability of states and territories to prevent, protect against, respond to and recover from potential terrorist acts and other hazards.*

The FY 2013 HSGP plays an important role in the implementation of the National Preparedness System (NPS) by supporting the building, sustainment, and delivery of core capabilities essential to achieving the National Preparedness Goal (NPG) of a secure and resilient Nation. HSGP is comprised of three related grant programs: State Homeland Security Program (SHSP), Urban Areas Security Initiative (UASI), and Operation Stonegarden (OPSG). Per the *Homeland Security Act of 2002* (Public Law 107–296), Title XX, § 2006, as amended by the 9/11 Act, Title I, §101, August 3, 2007, 121 Stat. 280, 6 U.S.C. § 607, states are required to ensure that at least 25 percent (25%) of the combined HSGP funds allocated under SHSP and UASI are dedicated towards law enforcement terrorism prevention activities (LETPA) linked to one or more core capabilities within the NPG. The LETPA allocation can be from SHSP, UASI or both.

### Funding

In FY 2013, total amount of funds distributed under the FY 2013 HSGP will be \$968,389,689. Below is the funding distribution across FY 2013 HSGP’s three related grant programs:

HSGP Programs	FY 2013 Allocation
State Homeland Security Program	\$354,644,123
Urban Areas Security Initiative	\$558,745,566
Operation Stonegarden	\$55,000,000
<b>Total</b>	<b>\$968,389,689</b>

- **SHSP:** FY 2013 SHSP funds will be allocated based on three factors: minimum amounts as legislatively mandated, DHS’ risk methodology, and anticipated effectiveness based on the strength of the Investment Justification (IJ). Each state and territory will receive a minimum allocation under SHSP using the thresholds established in the 9/11 Act. All 50 States, the

District of Columbia, and Puerto Rico will receive 0.35 percent of the total funds allocated for grants under Section 2003 and Section 2004 of the *Homeland Security Act of 2002*, as amended by the 9/11 Act, for SHSP. Four territories (American Samoa, Guam, the Northern Mariana Islands, and the U.S. Virgin Islands) will receive a minimum allocation of 0.08 percent of the total funds allocated for grants under Section 2003 and 2004 of the *Homeland Security Act of 2002*, as amended by the 9/11 Act.

- **UASI:** FY 2013 UASI funds will be allocated based on DHS' risk methodology and anticipated effectiveness based on the strength of the IJ. Eligible candidates for the FY 2013 UASI program have been determined through an analysis of relative risk of terrorism faced by the 100 most populous metropolitan statistical areas in the United States, in accordance with the 9/11 Act.
- **OPSG:** FY 2013 OPSG funds will be allocated based on risk-based prioritization using a U.S. Customs and Border Protection (CBP) sector-specific border risk methodology to include, but not limited to: threat, vulnerability, miles of border, and other border-specific "law enforcement intelligence," as well as feasibility of FY 2013 Operation Orders to designated localities within the United States border States and territories.

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## Eligibility

The FY 2013 HSGP supports a diverse group of state, territorial, tribal, and local governments. Eligible recipients under the three HSGP programs are:

- **SHSP:** All 50 states, the District of Columbia, Puerto Rico, American Samoa, Guam, Northern Mariana Islands and the U.S. Virgin Islands
- **UASI:** The 25 eligible Urban Areas identified in the HSGP Funding Opportunity Announcement (FOA)
- **OPSG:** Local units of government at the county level and federally-recognized tribal governments in the states bordering Canada (including Alaska), states bordering Mexico and states and territories with international water borders

The State Administrative Agency (SAA) is the only entity eligible to submit applications to FEMA for HSGP.

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## Funding Guidelines

For FY 2013 HSGP, allowable Investments made in support of the HSGP priorities as well as other capability-enhancing projects must fall into the categories of planning, organization, equipment, training, or exercises. A maximum of up to five percent (5%) of HSGP funds (including OPSG) awarded may be used for paying expenses directly related to the administration of the grant. The period of performance for HSGP is 24 months from the date of award. For additional information regarding allowable costs, please see the FY 2013 HSGP FOA.

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## Key FY 2013 HSGP Changes

- In response to Congressional direction, the number of eligible UASI Urban Areas has changed from 31 to 25
- In order to strengthen the correlation of projects to the National Preparedness Goal (NPG), HSGP includes a program priority focused on Strengthening Governance Integration. This priority ensures resources are targeted to support the most critical needs of a community based on risk, driven capabilities-based estimations and planning. Strong and inclusive governance systems better ensure that disparate funding streams are coordinated and applied for maximum impact.
- In addition, the following two program priorities have been added under HSGP in FY 2013:
  1. Innovation and Sustained Support for the National Campaign for Preparedness; and
  2. Improve Immediate Emergency Victim Care at Mass Casualty Events.

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## Application Process and Evaluation Criteria

As part of the FY 2013 HSGP application process for SHSP and UASI funds, applicants must develop a formal IJ that addresses each Investment being proposed for funding. The IJ must demonstrate how proposed projects support sustainment of existing core capabilities or address shortfalls and deficiencies in one or more core capabilities outlined in the NPG and as identified in their most recent State Preparedness Report (SPR). The IJ must also describe engagement with and/or impacts on the general and vulnerable populations, to include children, the elderly, pregnant women, and individuals with disabilities such as those with access and functional needs. Consistent with the purpose of the program, the IJ must demonstrate alignment to Urban Area, state, and regional Threat and Hazard Identification Risk Assessments (THIRAs), SPRs, national priorities, and applicable guidance provided by FEMA.

The following process will be used to evaluate the anticipated effectiveness of the proposed Investments and to make awards under the SHSP and UASI:

- FEMA will verify compliance with all administrative and eligibility criteria identified in the FOA, to include the required submission of the IJ by the established due dates
- IJs will be evaluated for completeness, adherence to programmatic guidelines, and anticipated effectiveness of the proposed Investments. Only the information included in the IJ will be assessed in the review process. State and Urban Area homeland security strategies will be reviewed to ensure overall strategic alignment of the Investments, but will not be scored

As part of the FY 2013 OPSG application process, each eligible local unit of government at the county level or federally-recognized tribal government must develop their Operations Order in coordination with state and federal law enforcement agencies, to include, but not limited to CBP/Border Patrol (BP). Operations Orders that are developed at the county level should be inclusive of city, county, tribal, and other local law enforcement agencies that are eligible to participate in OPSG operational activities, and the Operations Order should address this in the Executive Summary. The details should include the names of the agencies, the points of contact, and the individual funding requests. All applications must be coordinated through the CBP sector office which will forward application to the SAA for review.