



Homeland Security

FY 2012 TRIBAL HOMELAND SECURITY GRANT PROGRAM (THSGP)

Overview

As appropriated by the *Consolidated Appropriations Act, 2012, Division D* (Public Law 112-74), and authorized by the *Homeland Security Act of 2002*, as amended by Section 101 of the *Implementing Recommendations of the 9/11 Commission Act of 2007*, 6 U.S.C. 606, (hereafter “9/11 Act”) the FY 2012 THSGP is the fifth round of funding available to all federally recognized, directly eligible tribes. The THSGP enhances the ability of tribal nations to prevent, protect against, respond to, and recover from potential terrorist attacks and other hazards. The THSGP implements objectives addressed in a series of post-9/11 laws, strategy plans, and Homeland Security Presidential Directives. The FY 2012 THSGP plays an important role in the implementation of Presidential Policy Directive 8 (PPD-8) by supporting the development and sustainment of core capabilities to fulfill the *National Preparedness Goal* (NPG).

In Fiscal Year 2012, DHS will award \$6,000,000 to enhance the ability of tribal nations to prevent, protect against, respond to, and recover from potential terrorist attacks and other hazards

Funding Guidelines

In FY 2012, the total amount of funds distributed under THSGP is \$6,000,000. FY 2012 THSGP funds are used for a variety of planning activities, equipment purchases, and maintenance and sustainment. Additionally, a maximum of 5 percent of funds awarded are available for Management and Administration purposes associated with the grant award. The period of performance for the THSGP is 24 months from the date of award.

FY 2012 THSGP funds were allocated based on tribal eligibility per the 9/11 Act, and the effectiveness of the applicant’s THSGP Investment Justification, as determined through a peer review process.

What is the authorizing statute?

The *Homeland Security Act of 2002* (Public Law 107-296), as amended by section 101 of the *Implementing Recommendations of the 9/11 Commission Act of 2007* (Public Law 110-53)

Who is eligible?

In order to be eligible to receive THSGP funding, grantees must have been considered “directly eligible Tribes.” Per the 9/11 Act, the term “directly eligible Tribe” means –

- (A) any Indian Tribe –
 - (i) that is located in the continental United States;
 - (ii) that operates a law enforcement or emergency response agency with the capacity to respond to calls for law enforcement or emergency services;
 - (iii)
 - a. that is located on or near (50 miles) an international border or a coastline bordering an ocean (including the Gulf of Mexico) or international waters (excluding shoreline border around the Great Lakes); or
 - b. that is located within 10 miles of a system or asset included on the prioritized critical infrastructure list established under section 210E(a)(2) [please refer to section 1001 of the 9/11 Act] or has such a system or asset within its territory; or

- c. that is located within or contiguous to one of the 50 most populous metropolitan statistical areas in the United States; or
- d. the jurisdiction of which includes not less than 1,000 square miles of Indian country, as that term is defined in section 1151 of title 18, United States Code; and
- (iv) that certifies to the Secretary that a State has not provided funds under section 2003 [UASI] or 2004 [SHSP] to the Indian Tribe or consortium of Indian Tribes for the purpose for which direct funding is sought; and
- (B) a consortium of Indian Tribes, if each Tribe satisfies the requirements of subparagraph (A).

In summary, eligible Tribes must have met the requirements set forth in (A) (i), and (A) (ii), and (A) (iv). Tribes must also meet one of the requirements set forth in (A) (iii); either (A) (iii) (a), or (A) (iii) (b), or (A) (iii) (c), or (A) (iii) (d). Finally, (B) may also be satisfied, if each Tribe satisfies the requirements of subparagraph (A).

What are the FY 2012 THSGP Key Changes?

- Prioritized the implementation of PPD-8 and the NPG. FY 2012 THSGP were aligned to the five mission areas and supporting core capabilities within the NPG
- Reduced the period of performance for THSGP from 36 months to 24 months
- Removed the Semi-Annual Assistance Progress Report (SAPR) reporting requirement in lieu of the Standard Form-Performance Progress Report (SF-PPR) to report on progress towards implementing program-specific performance measures
- Expanded the scope of maintenance and sustainment by allowing the support of equipment that has previously been purchased with both federal and other sources of funding as long as direct linkage can be provided to one of the core capabilities within the NPG
- Removed optional 25 percent cost match for each Investment which was voluntary under FY 2011 THSGP
- Applicants were no longer required to align proposed Investments to the eight National Priorities within the *National Preparedness Guidelines*. Instead, applicants were required to align Investments to the five missions areas as outlined in the NPG
- Applicants were no longer required to align proposed funding requests to the Target Capabilities List. Instead, applicants were required to align funding to the core capabilities as outlined in the NPG

Application Process and Evaluation Criteria

FY 2012 THSGP funds were allocated based on two factors: Eligibility, as defined in 9/11 Act and self-certified by applicant, and Effectiveness: the score of the applicant's THSGP Investment Justification (as determined through a peer review process). With respect to the effectiveness criteria, THSGP IJ's were evaluated through a peer review process for completeness, adherence to programmatic guidelines and the anticipated effectiveness of the proposed Investment(s). Only the information included in the IJ was scored in the review process.

Applicants were required to submit an Investment Justification (IJ) Template found on <http://www.fema.gov/government/grant/thsgp/index.shtm>. The IJ addressed each Investment being proposed for funding and demonstrated how proposed Investments address gaps and deficiencies in current capabilities. Applicants completed and submitted the on-line application; including the Investment Justification and all additional required forms.

Eligible tribes with complete applications were reviewed and scored during a Peer Review Conference, to evaluate the anticipated effectiveness of proposed Investment(s). This peer review process is described below:

- The Investment Justifications were reviewed by voluntary peer reviewers solicited from groups including DHS HQ, FEMA HQ and FEMA Regional Tribal Liaisons, Native American Associations, and past reviewers. Peer reviewer nominations were reviewed and vetted by FEMA GPD. Peer reviewers were then assigned to review Investment Justifications in panels designed to ensure equitable distribution of Investment Justifications and to mitigate the potential for scoring bias.

- Reviewers convened in panels to independently score and discuss Investment Justifications as a group to provide feedback to grantees. During the Conference, reviewers entered final scores and feedback using a THSGP Scoring Worksheet.
- Reviewers evaluated the proposed Investments based on the criteria to evaluate how well the proposed Investment(s) contribute to building and/or sustaining capabilities to prevent, protect against, respond to or recover from acts of terrorism or other significant events
- Scores from individual reviewers on a panel were combined to create a Final Effectiveness Score for each individual Investment. Allocation determinations were considered based on these scores.

THSGP Resources

There are a variety of resources available to address programmatic, technical, and financial questions which can assist with the THSGP application process.

- The FY 2012 THSGP FOA is located online at: <http://www.fema.gov/grants> as well as on <http://www.grants.gov>.
 - For additional program-specific information, please contact the Centralized Scheduling and Information Desk (CSID) help line at (800) 368-6498 or AskCSID@dhs.gov. CSID hours of operation are from 9:00 a.m. to 5:30 p.m. EST, Monday through Friday.
 - For financial-related questions, including pre-and post-award administration and technical assistance, applicants may contact the FEMA Grant Programs Directorate Call Center at (866) 927-5646 or via e-mail to ASK-GMD@dhs.gov.
-

FY 2012 THSGP Funding Table

State	Tribe	Allocation
Arizona	Ak-Chin Indian Community	\$313,892
	Fort McDowell Yavapai Nation	\$221,808
	Pascua Yaqui Tribe	\$108,000
California	Cabazon Band of Mission Indians	\$194,751
	Morongo Band of Mission Indians	\$285,820
	Quechan Indian Tribe	\$118,020
	Rincon Band of Luiseno Mission Indians	\$159,126
	San Manuel Band of Mission Indians	\$600,000
Idaho	Nez Perce Tribe	\$333,450
Maine	Houlton Band of Maliseet Indians	\$151,582
Minnesota	Prairie Island Indian Community	\$205,000
Montana	Blackfeet Tribal Nation	\$161,150
	Crow Tribe of Montana	\$265,000
North Dakota	Standing Rock Sioux Tribe	\$245,520
Oklahoma	Caddo Nation of Oklahoma	\$73,467
	Cherokee Nation	\$323,259
	Choctaw Nation of Oklahoma	\$449,653
	Peoria Tribe of Indians of Oklahoma	\$236,198
	Quapaw Tribe of Oklahoma	\$340,604
	Seminole Nation of Oklahoma	\$131,200
South Dakota	Rosebud Sioux Tribe	\$545,000
Texas	Ysleta del Sur Pueblo	\$50,000
Washington	Nooksack Indian Tribe	\$487,500
Total		\$6,000,000