



Homeland Security

FY 2012 HOMELAND SECURITY GRANT PROGRAM (HSGP)

Overview

The purpose of the FY 2012 HSGP is to provide a primary funding mechanism for building and sustaining national preparedness capabilities. HSGP is comprised of three related grant programs: State Homeland Security Program (SHSP), Urban Areas Security Initiative (UASI), and Operation Stonegarden (OPSG). The FY 2012 HSGP plays an important role in the implementation of *Presidential Policy Directive-8 (PPD-8)* by supporting the development and sustainment of core capabilities to fulfill *the National Preparedness Goal (NPG)*. Per the *Homeland Security Act of 2002* (6 U.S.C. §101, *et seq.*), as amended by the *Implementing Recommendations of the 9/11 Commission Act of 2007* (Public Law 110-53) (hereafter "9/11 Act"), states are required to ensure that at least 25 percent of the combined HSGP funds allocated under SHSP and UASI are dedicated towards law enforcement terrorism prevention activities (LETPA) linked to one or more core capabilities within the NPG. The LETPA allocation can be from SHSP, UASI or both.

In Fiscal Year 2012, DHS will award \$830,976,000 to enhance the capability of States, urban areas, and territories to prevent, protect against, respond to and recover from potential

Although no longer funded as discrete grant programs, all activities and costs allowed under the FY 2010 Buffer Zone Protection Program (BZPP), FY 2010 Interoperable Emergency Communications Grant Program (IECGP), FY 2011 Citizen Corps Program (CCP), FY 2011 Driver's License Security Grant Program (DLSGP), and FY 2011 Metropolitan Medical Response System (MMRS) grant program are allowable and encouraged activities and costs under the FY 2012 HSGP. For additional information on allowable activities under the aforementioned grant programs, please refer to <http://www.fema.gov/government/grant/nondisaster.shtm>.

Funding Guidelines

In FY 2012, the total amount of funds distributed under the FY 2012 HSGP is \$830,976,000. Below is the funding distribution across FY 2012 HSGP's three related grant programs:

HSGP Programs	Allocation
State Homeland Security Program	\$294,000,000
Urban Areas Security Initiative	\$490,376,000
Operation Stonegarden	\$46,600,000
Total	\$830,976,000

FY 2012 HSGP funds were allocated according to:

- SHSP:** FY 2012 SHSP funds were allocated based on three factors: minimum amounts as legislatively mandated, DHS' risk methodology, and anticipated effectiveness based on the strength of the Investment Justification (IJ). Each State and territory received a minimum allocation under SHSP using the thresholds established in Section 2004 of the 9/11 Act. All 50 States, the District of Columbia, and Puerto Rico received 0.35 percent of the total funds allocated for grants under Section 2003 and Section 2004 of the *Homeland Security Act of 2002*, as amended by the 9/11 Act, for SHSP. Four territories (American Samoa, Guam, the Northern Mariana Islands, and the U.S. Virgin Islands) received a minimum allocation of 0.08 percent of the total funds allocated for grants.

- **UASI:** FY 2012 UASI funds were allocated based on DHS' risk methodology and anticipated effectiveness based on the strength of the IJ. Eligible candidates for the FY 2012 UASI program were determined through an analysis of relative risk of terrorism faced by the 100 most populous metropolitan statistical areas in the United States, in accordance with the 9/11 Act.
- **OPSG:** FY 2012 OPSG funds were allocated based on risk-based prioritization using a U.S. Customs and Border Protection (CBP) Sector-specific border risk methodology to include, but not limited to: threat, vulnerability, miles of border, and other border-specific "law enforcement intelligence," as well as feasibility of FY 2012 Operation Orders to designated localities within the United States border States and territories

For FY 2012 HSGP, allowable Investments made in support of the HSGP priorities as well as other capability-enhancing projects fell into the categories of planning, organization, equipment, training, or exercises. Applicants were required to consolidate all fusion center-related funding requests into a single Investment for states or Urban Areas in which recognized fusion centers are located. A maximum of up to five percent of all HSGP funds awarded were able to be retained by both grantees and sub-grantees for management and administration (M&A) purposes associated with the HSGP award. The period of performance for HSGP is 24 months from the date of award. For additional information regarding allowable costs, please see the FY 2012 HSGP Funding Opportunity Announcement (FOA).

What is the authorizing statute?

The *Homeland Security Act of 2002* (Public Law 107-296), as amended by section 101 of the *Implementing Recommendations of the 9/11 Commission Act of 2007* (Public Law 110-53)

Who is eligible?

All 56 States and territories were eligible to apply for SHSP funds. For those States and territories that were eligible for UASI and/or OPSG funds, the State Administrative Agency (SAA) was the only entity eligible to submit applications to FEMA on behalf of UASI and OPSG applicants. Recipients of HSGP funding include the following:

- **SHSP:** All 50 states, the District of Columbia, Puerto Rico, American Samoa, Guam, Northern Mariana Islands and the U.S. Virgin Islands
- **UASI:** The 31 eligible Urban Areas identified in the HSGP Funding Opportunity Announcement (FOA)
- **OPSG:** Local units of government at the county level and federally-recognized tribal governments in the states bordering Canada (including Alaska), states bordering Mexico and states and territories with international water borders

What are the FY 2012 HSGP Key Changes?

- Removed the Semi-Annual Assistance Progress Report (SAPR) reporting requirement in lieu of the Standard Form-Performance Progress Report (SF-PPR) to report on progress towards implementing program-specific performance measures
- Reduces the period of performance for from 36 months to 24 months
- Expanded the scope of maintenance and sustainment by allowing the support of equipment that has previously been purchased with both federal and other sources of funding as long as direct linkage can be provided to one of the core capabilities within the NPG
- Included requirement for HSGP recipients to belong to or be located in Emergency Management Assistance Compact (EMAC) member states in support of the NPG (does not apply to American Samoa and the Commonwealth of the Northern Mariana Islands)
- Expand the scope of allowable construction and renovation projects beyond a component of a security system at critical infrastructure facilities. Allowable expenses for SHSP and UASI were also expanded to include operational packages such as anti-terrorism, mobile screening, and/or canine team activities
- Applicants were no longer required to align proposed Investments to the eight National Priorities within the *National Preparedness Guidelines*

- Applicants were no longer required to align proposed funding requests to the Target Capabilities List (TCL). Instead, applicants were required to align funding to the core capabilities as outlined in the NPG
- Due to the lack of discrete appropriations set-aside for SHSP and UASI, FEMA modified the calculation of the SHSP state funding minimum and the law enforcement terrorism prevention activities based on each state and Urban Area's allocated amount rather than appropriated amount as mandated by the 9/11 Act
- Total number of Investments for SHSP and UASI were limited to 10, rather than 15 each
- Applicants were required to consolidate all fusion center-related funding requests into a single Investment for states or Urban Areas in which recognized fusion centers are located.

Application Process and Evaluation Criteria

For SHSP and UASI, applicants were required to submit an IJ from the Grants Reporting Tool (GRT). In FY 2012, DHS required that at least one (1) fusion center Investment from a state provide funding support to the state's primary fusion center, as designated by the Governor. In addition, FY 2012 eligible UASI Urban Areas were required to provide an Investment for the DHS-recognized fusion center within the Urban Area. Note that fusion center-related funding requests were required to be consolidated into a single Investment for state or Urban Areas, in which recognized fusion centers reside. Grantees were required to coordinate with the fusion center when developing a fusion center Investment prior to submission.

The following process was used to evaluate the anticipated effectiveness of the proposed Investments and to make awards under the SHSP and UASI:

- FEMA verified compliance with all administrative and eligibility criteria identified in the FOA, to include the required submission of the IJ by the established due dates
- IJs were evaluated for completeness, adherence to programmatic guidelines, and anticipated effectiveness of the proposed Investments. Only the information included in the IJ was assessed in the review process. State and Urban Area homeland security strategies were reviewed to ensure overall strategic alignment of the Investments, but were not scored

As part of the FY 2012 OPSG application process, each eligible local unit of government at the county level or federally-recognized tribal government developed their Operations Order in coordination with state and federal law enforcement agencies, to include, but not limited to United States Customs and Border Protection (CBP)/Border Patrol (BP). Operations Orders that were developed at the county level should have been inclusive of city, county, tribal, and other local law enforcement agencies that are eligible to participate in OPSG operational activities, and the Operations Order should have addressed this in the Executive Summary. The details should have included the names of the agencies, the points of contact, and the individual funding requests. All applications were required to coordinate through the CBP sector office which forwarded applications to the SAA for review.

HSGP Resources

There are a variety of resources available to address programmatic, technical, and financial questions which can assist with the HSGP application process.

- The FY 2012 HSGP FOA is located online at: <http://www.fema.gov/grants> as well as on <http://www.grants.gov>.
- For additional program-specific information, please contact the Centralized Scheduling and Information Desk (CSID) help line at (800) 368-6498 or AskCSID@dhs.gov. CSID hours of operation are from 9:00 a.m. to 5:30 p.m. EST, Monday through Friday.
- For financial-related questions, including pre-and post-award administration and technical assistance, applicants may contact the FEMA Grant Programs Directorate Call Center at (866) 927-5646 or via e-mail to ASK-GMD@dhs.gov.

FY 2012 HSGP Funding Tables

FY 2012 SHSP Allocations

State/Territory	Allocation	State/Territory	Allocation
Alabama	\$2,801,316	Montana	\$2,801,316
Alaska	\$2,801,316	Nebraska	\$2,801,316
American Samoa	\$640,301	Nevada	\$2,801,316
Arizona	\$3,310,348	New Hampshire	\$2,801,316
Arkansas	\$2,801,316	New Jersey	\$6,230,200
California	\$43,503,883	New Mexico	\$2,801,316
Colorado	\$2,801,316	New York	\$55,610,384
Connecticut	\$2,801,316	North Carolina	\$3,977,858
Delaware	\$2,801,316	North Dakota	\$2,801,316
District of Columbia	\$2,984,245	Northern Mariana Islands	\$640,301
Florida	\$8,839,003	Ohio	\$5,578,064
Georgia	\$4,932,320	Oklahoma	\$2,801,316
Guam	\$640,301	Oregon	\$2,801,316
Hawaii	\$2,801,316	Pennsylvania	\$7,265,475
Idaho	\$2,801,316	Puerto Rico	\$2,801,316
Illinois	\$11,852,469	Rhode Island	\$2,801,316
Indiana	\$2,801,316	South Carolina	\$2,801,316
Iowa	\$2,801,316	South Dakota	\$2,801,316
Kansas	\$2,801,316	Tennessee	\$2,801,316
Kentucky	\$2,801,316	Texas	\$15,820,512
Louisiana	\$2,801,316	U.S. Virgin Islands	\$640,301
Maine	\$2,801,316	Utah	\$2,801,316
Maryland	\$4,438,106	Vermont	\$2,801,316
Massachusetts	\$4,073,885	Virginia	\$5,372,259
Michigan	\$4,898,578	Washington	\$4,705,147
Minnesota	\$2,801,316	West Virginia	\$2,801,316
Mississippi	\$2,801,316	Wisconsin	\$2,801,316
Missouri	\$2,801,316	Wyoming	\$2,801,316
Total			\$294,000,000

FY 2012 UASI Allocations

State	Urban Area	Allocation
Arizona	Phoenix Area	\$4,018,455
California	Anaheim/Santa Ana Area	\$4,455,106
	Bay Area	\$26,423,268
	Los Angeles/Long Beach Area	\$61,029,547
	Riverside Area	\$1,521,937
	San Diego Area	\$9,156,712
Colorado	Denver Area	\$2,527,525
District of Columbia	National Capital Region	\$51,839,027
Florida	Miami/Fort Lauderdale Area	\$5,401,304
	Orlando Area	\$1,447,416
	Tampa Area	\$2,595,211
Georgia	Atlanta Area	\$5,283,893
Illinois	Chicago Area	\$47,703,062
Indiana	Indianapolis Area	\$1,250,000
Louisiana	New Orleans Area	\$1,250,000
Maryland	Baltimore Area	\$4,116,111
Massachusetts	Boston Area	\$10,861,397
Michigan	Detroit Area	\$5,232,574
Minnesota	Twin Cities Area	\$3,270,673
Missouri	Kansas City Area	\$1,250,000
	St. Louis Area	\$2,908,188
Nevada	Las Vegas Area	\$1,826,923
New Jersey	Jersey City/Newark Area	\$21,663,035
New York	New York City Area	\$151,579,096
North Carolina	Charlotte Area	\$1,494,751
Oregon	Portland Area	\$2,157,259
Pennsylvania	Philadelphia Area	\$14,268,859
Texas	Dallas/Fort Worth/Arlington Area	\$14,292,691
	Houston Area	\$23,936,523
	San Antonio Area	\$1,250,000
Washington	Seattle Area	\$4,365,457
Total		\$490,376,000

FY 2012 OPSG Allocations

State	Allocation
Alabama	\$48,043
Arizona	\$9,550,184
California	\$7,826,241
Florida	\$250,000
Idaho	\$48,763
Louisiana	\$400,000
Maine	\$882,833
Michigan	\$747,363
Minnesota	\$538,440
Montana	\$794,432
New Hampshire	\$90,000
New Mexico	\$3,139,233
New York	\$2,428,472
North Dakota	\$499,407
Ohio	\$646,125
Pennsylvania	\$92,500
Puerto Rico	\$655,530
Texas	\$15,688,632
Vermont	\$315,106
Washington	\$1,958,696
Total	\$46,600,000