INTRODUCTION

Purpose

This annex describes the policies, responsibilities, and concept of operations for effective coordination and interaction of Federal incident management activities with those of tribal governments and communities during incidents requiring a coordinated Federal response. The processes and functions described in this annex help facilitate the delivery of incident management programs, resources, and support to tribal governments and individuals.

Scope

This annex applies to all Federal departments and agencies working under the National Response Framework in response to incidents requiring Federal coordination, including tribes recognized by the Federal Government.

Because tribal governments are fully integrated into the National Response Framework, this annex addresses only those factors in the relationship between Federal departments and agencies and the federally recognized tribes.

The guidance provided in this annex does not contravene existing laws governing Federal relationships with federally recognized tribes.

Policies

The Department of Homeland Security (DHS), in cooperation with other Federal departments and agencies, coordinates tribal relations functions for incidents requiring Federal coordination.

The United States recognizes Indian tribes as domestic dependent nations under its protection, recognizes the right of Indian tribes to self-government, and supports tribal sovereignty and self-determination.

Federal agencies shall respect Indian tribal self-government and sovereignty, honor tribal treaty and other rights, and strive to meet the responsibilities that arise from the unique legal relationship between the Federal Government and Indian tribal governments.

State Governors must request a Presidential disaster declaration on behalf of a tribe under the Stafford Act. However, Federal departments and agencies can work directly with tribes within existing agency authorities and resources in the absence of such a declaration.

Federal departments and agencies comply with existing laws and Executive orders mandating that the Federal Government deal with Indian tribes on a government-to-government basis, reflecting the federally recognized tribes’ right of self-government as sovereign domestic dependent nations. A tribe may, however, opt to deal directly with State and local officials.
Federal departments and agencies involved in potential or actual incidents requiring a coordinated Federal response shall consult and collaborate with tribal governments on matters affecting the tribes and must be aware of the social, political, and cultural aspects of an incident area that might affect incident management operations.

Federal departments and agencies provide appropriate incident management officials with access to current databases containing information on tribal resources, demographics, and geospatial information.

Federal departments and agencies recognize the unique political and geographical issues of tribes whose aboriginal and contemporary territory is on or near the current international borders of Canada and Mexico.

Federal departments and agencies shall include tribes in all aspects of incidents requiring a coordinated Federal response that affect tribes and incident management operations.

CONCEPT OF OPERATIONS

General

Federal departments and agencies conduct incident management operations involving federally recognized tribal governments in accordance with the National Response Framework and the National Incident Management System. Tribal relations functions, resources, and liaison operations are coordinated through Emergency Support Function (ESF) #15 – External Affairs.

ORGANIZATION

A Tribal Relations Element is established in the Joint Field Office (JFO) to provide the operational capability for collecting and sharing relevant incident information, alerting and deploying required tribal relations staff to or near the affected area, and ensuring compliance with Federal laws relating to tribal relations.

For incidents that directly impact tribal jurisdictions, a tribal representative shall be included in the Unified Coordination Group, as required.

ACTIONS

Through ESF #15, DHS, in consultation with cooperating agencies, coordinates requirements for the Tribal Relations Element at the JFO, when established.

A tribe may appoint a member of the tribe to serve as a tribal liaison in the JFO. As authorized by tribal government, the tribal liaison:

- Is responsible for coordinating tribal resources needed to prevent, protect against, respond to, and recover from incidents of all types. This also includes preparedness and mitigation activities.
- May have powers to amend or suspend certain tribal laws or ordinances associated with response.
- Communicates with the tribal community and helps people, businesses, and organizations cope with the consequences of any type of incident.
Negotiates mutual aid and assistance agreements with other tribes or jurisdictions.

Can request Federal assistance under the Stafford Act through the Governor of the State when it becomes clear that the tribe’s capabilities will be insufficient or have been exceeded.

Can elect to deal directly with the Federal Government. Although a State Governor must request a Presidential disaster declaration on behalf of a tribe under the Stafford Act, Federal departments or agencies can work directly with the tribe within existing authorities and resources.

RESPONSIBILITIES

Coordinating Agency: DHS

Has primary responsibility for implementing consistent tribal relations policies and procedures during potential or actual incidents requiring a coordinated Federal response.

Is responsible for coordinating data sharing by other agencies and departments that have responsibilities for collecting and maintaining data relevant to incident management for incidents that involve tribes.

Is responsible for coordinating and designating staff for the Tribal Relations Element at the JFO. The Tribal Relations Element may include representatives from other departments and agencies, as appropriate and as jurisdictions dictate.
## COOPERATING AGENCIES

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<th>Agency</th>
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| **Department of Agriculture** | • Directly supports tribal nations by providing education, training, and support to enable the response to emergency incidents.  
• Provides expertise and support concerning food safety and security, plant and animal health, and other homeland security issues including border security.  
• Provides technical assistance and subject-matter expertise for pet evacuation and sheltering activities. |
| **Department of Health and Human Services (HHS)** | **Indian Health Service**  
In consultation with tribal officials, provides comprehensive public health services and acute (emergency) care directly, through contract health services (nontribal contractor), or through self-determination healthcare contracts or compacts with American Indian and Alaska Native organizations. These comprehensive health services include all aspects of environmental health, as well as mental health and social services.  

**HHS/Centers for Disease Control and Prevention**  
• In consultation with tribal officials, directly participates in the emergency response and provides expertise and epidemiological support in an incident involving a biological agent.  
• Working with tribal health officials and the Indian Health Service, assesses health impacts and needs in support of tribal efforts.  

**HHS/Food and Drug Administration**  
In consultation with tribal officials, directly participates in any emergency response to terrorism that contaminates food or pharmaceutical industry products affecting tribal lands. |
| **Department of the Interior (DOI)** | **DOI/Bureau of Indian Affairs**  
• In consultation with tribal officials, provides—either by itself, by contract with a tribe, or both—a broad variety of services in an emergency including basic law enforcement protection, fire protection, emergency social services assistance to affected individuals and households, and support for all Federal agencies responding to the incident.  
• Assesses impacts on trust lands, resources, and infrastructure and supports tribal efforts commensurate with trust responsibilities.  
• In support of ESF #15, provides liaison officers to ensure coordination with tribal governments on all aspects of incident management operations, and works closely with DHS to ensure tribal relations actions are carried out in accordance with established Federal Government policies and procedures.  
• In conjunction with the incident-affected tribes, assists in providing an efficient and reliable flow of incident-related information between the tribes and the Federal Government.  
• Supports DHS for the Tribal Relations Element of the JFO by supplying staff and resources as requested. |